

**MINUTES OF THE JOINT MEETING  
OF THE BOARDS OF DIRECTORS OF  
CITIZENS ENERGY GROUP AND CWA AUTHORITY, INC.  
HELD DECEMBER 10, 2025**

Pursuant to notice duly given and posted as required by law, a joint meeting of the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis d/b/a Citizens Energy Group (Citizens) and the Board of Directors of CWA Authority, Inc. (CWA) (jointly referred to as the Board unless otherwise noted) convened at 9:55 a.m., EST, Wednesday, December 10, 2025, at the offices of Citizens Energy Group, 2150 Doctor Martin Luther King, Jr. Street, Indianapolis, Indiana.

Board members present at the meeting were: Maria M. Quintana (Chair), Moira M. Carlstedt, Jeffrey E. Good, and Christia Hicks. J.A. Lacy and Nichole C. Wilson both attended electronically. Daniel C. Appel and Eric C. Scroggins were unable to attend the meeting.

Present from Citizens: Jeffrey A. Harrison, President and Chief Executive Officer; Craig L. Jackson, Senior Vice President and Chief Financial Officer; Joseph M. Perkins, Jr., Senior Vice President and General Counsel; Michael D. Strohl, Senior Vice President and Chief Customer Officer; J.P. Ghio, Vice President of Energy Operations; Sabine E. Karner, Vice President and Controller; Melissa C. Lawson, Vice President of Customer Operations; John F. Lucas, Vice President of Information Technology; Sara J. Mamuska-Morris, Vice President Capital Programs and Engineering; Joseph M. Sutherland, Vice President of Regulatory and External Affairs; Jodi L. Whitney, Vice President of Human Resources and Chief People Officer; and Jeffrey A. Willman, Vice President of Water Operations; Jennifer Bailey, Director of Treasury; Ed Bukovac, Director of Citizens Westfield Utilities; Abed Darwish, Director of Business Solutions; Jeff Ford, Director of Billing and Customer Service; Korlon Kilpatrick, Director of Regulatory Affairs; Scott Leavitt, Director of Internal Audit; Ann McIver, Director of Environmental Stewardship; Scott Miller, Director of Information Security; Laura O'Brien, Manager of Public and Corporate Affairs, Bridget O'Conner, Director of Government Affairs and Regulatory Policy; Justin Redman, Director of Shared Field Services; Shannon Stahley, Director of Corporate and Public Affairs; Camela Johnson, Senior Manager of Accounting.

Also present at the meeting was Ken Montgomery (Channel 16 news).

The meeting was called to order by the Chair of the Board. The Chair reviewed the electronic attendance policy and clarified the voting limitations for members participating remotely. The Chair then asked Board members to recuse themselves from any action items on the agenda if they identified a conflict. No items or conflicts were identified.

The Board considered the minutes of its previous meeting. Upon a motion duly made and seconded, the Board unanimously approved the minutes of the joint meeting of the Boards of Directors of Citizens Energy Group and CWA Authority, Inc. held on August 20, 2025.

The Chair then certified that the topics discussed during the executive session of the Board of Directors of Citizens Energy Group and CWA Authority, Inc meeting held on August 20, 2025 were limited solely to the topics specified on the public notice. The notice will be included with the minutes of this meeting.

As the owner of the outstanding capital stock of Citizens By-Products Coal Company d/b/a Citizens Resources, the Board authorized and appointed Ms. Quintana to serve as proxy of and for the Board of Directors of Citizens Energy Group (“Citizens Board”), to vote such stock at the January 12, 2026, Annual Meeting of the Shareholder of the corporation, or any adjournment of such meeting. Ms. Quintana will have full power and authority to act for and on behalf of the Citizens Board in voting for all nominees for directors of that corporation consisting of those individuals currently serving as members of this Board, and in the transaction of such other business as may come before that meeting, with full power of substitution and revocation, and further to execute such proxy appointment form(s) or other documents, as may be necessary to carry out the authority so granted by the Citizens Board.

The Chair next addressed management’s request to approve the filing set forth in Fuel Cost Adjustment 79. Upon a motion duly made and seconded, the Citizens Board unanimously ratified and approved the following resolution:

RESOLVED by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that the proposed fuel cost adjustment (“FAC”) rate in Cause No. 41969-FAC 79 of

\$0.70805 to be effective November 1, 2025 subject to approval by the Indiana Utility Regulatory Commission is hereby approved and ratified.

The Chair next addressed management's request to approve the filing set forth in Gas Cost Adjustment 168. Upon a motion duly made and seconded, the Citizens Board unanimously ratified and approved the following resolution:

RESOLVED by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that the updated filing with the Indiana Utility Regulatory Commission ("IURC") for the proposed gas cost adjustment ("GCA") rates in Cause No. 37399-GCA 168 for December 2025, January and February 2026 is hereby approved and ratified. Pursuant to the terms of the monthly GCA mechanism, the management and staff hereby are authorized to modify the GCA rates for December 2025, January and February 2026 in accordance with the terms of the Cause No. 37399-GCA 75 Order and the Order in Cause No. 37399-GCA 168, which will be approved by the IURC in November 2025.

The Chair then addressed management's request to approve the filing set forth in Fuel Cost Adjustment 80. Upon a motion duly made and seconded, the Citizens Board unanimously ratified and approved the following resolution:

RESOLVED by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that the proposed fuel cost adjustment ("FAC") rate in Cause No. 41969-FAC 80 of \$0.66012 to be effective February 1, 2026 subject to approval by the Indiana Utility Regulatory Commission is hereby approved.

The Chair also addressed management's request to approve the filing set forth in Rider F – Universal Service Fund Rider. Upon a motion duly made and seconded, the Citizens Board unanimously ratified and approved the following resolution:

RESOLVED by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that the Rider F – Universal Service Fund Rider Compliance filing made with the IURC effective October 1, 2025 is hereby ratified and approved.

Next, the Chair invited Mr. Good to provide a report of the Audit and Risk Committee (the "Audit Committee"). Mr. Good reported that the Audit Committee received financial updates from Ms. Karner. Ms. Karner reported that combined net income decreased compared to prior year, primarily due to a rise in operating expenses. Liquidity remains very good for all units although Water and Wastewater ended the year with balances outstanding on their respective lines of credit. Ms. Karner also provided updates on disclosures for retirement plans, regulatory activity, and commitments and contingencies. Mr. Good also stated that the proposed 2026 audit fees were discussed.

Mr. Good then reported that the Committee received an internal audit update from Mr. Leavitt. Mr. Leavitt provided an update on the remaining projects in the FY2025 audit plan. The audit plan for FY2026 is underway with two projects in process, and everything is on track. Internal Audit will continue its quality assurance and improvement program in 2026 which will include self-assessments as well as an external quality assurance review.

In conclusion, Mr. Good stated that the Committee heard a report from Mr. Perkins on behalf of the company's ethics committee. Citizens uses an online survey which is sent to employees on an annual basis. The survey had 100% participation from those required to report. One code of conduct matter resulted in termination of an employee. All other reports were deemed to be non-violations. The company is in the process of refreshing its Code of Conduct.

Upon a motion duly made and seconded, the Board unanimously approved the FY 2025 Year-End Financial Statements for Citizens Energy Group and CWA Authority, Inc.

Upon a motion duly made and seconded, the Board unanimously approved the Deloitte and Touche FY2026 audit engagement letter for Citizens Energy Group and CWA Authority, Inc.

The Chair then invited Ms. Hicks to provide a report of the Compensation and Finance Committee (the "Compensation Committee"). Ms. Hicks reported that Ms. Whitney presented to the Committee the proposed executive compensation distributions for eligible officers, including an overview of the results for the 2025 Short-term Incentive Pay ("STIP") Plan, and that the Committee recommended approval of the executive compensation distributions.

Next, Ms. Hicks reported that Ms. Bailey provided an overview on credit ratings. She stated that Ms. Bailey reviewed the benefits of credit ratings, how ratings are determined, Citizens current credit ratings, differences between surveillance and bond issuance credit ratings, and reasons for credit rating changes.

Upon a motion duly made and seconded, the Board unanimously approved the following resolution:

**WHEREAS**, by statute, the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis d/b/a Citizens Energy Group (the "Board") has the "exclusive

government, management, regulation and control of all public utilities consisting of any waterworks, gasworks . . . heating and power plants of any kind [owned and] operated [by the Board] . . . within the city, or outside the city . . .” IC 8-1-11.1-3(a); and

**WHEREAS**, the Board also has the statutory power to “employ such managers . . . and other employees necessary for the proper carrying on and operation of any such utility plant or plants . . . and to fix the compensation of all such employees” and in so doing “shall consider character, training, and general expert and executive fitness and experience for the position in the particular utility to which such [executive] is to be assigned as the necessary requirements . . . .” IC 8-1-11.1-3(c)(4); and

**WHEREAS**, the Compensation and Finance Committee (“Committee”) of the Board has evaluated the 2025 incentive and deferred compensation payments payable to executive officers employed by the Board that must be approved by the Board;  
**WHEREAS**, in evaluating the officers’ Fiscal Year (“FY”) 2025 incentive and deferred compensation, the Committee received from its delegates an overview of the Short Term Incentive Pay (“STIP”) Plan outcomes for FY 2025, as well as the estimated payments to officers payable under the FY 2025 STIP Plan and under the Restoration Policy for Citizens Energy Group (as amended and restated effective January 1, 2016) (“Restoration Policy”); and

**WHEREAS**, payments to officers payable under the FY 2025 STIP Plan and Restoration Policy are identified as compensation component payment approvals on Exhibit A hereto; and  
**WHEREAS**, at a meeting of the Committee duly called and conducted on December 10, 2025, the Committee adopted a Resolution recommending that the Board adopt a Resolution approving all FY 2025 STIP Plan payments due to officers, as well as 2025 Restoration Policy payments due to eligible officers; and

**WHEREAS**, based upon the recommendation of the Committee, input from officers of Citizens Energy Group, and consideration of other factors, the Board has determined that all FY 2025 STIP Plan distributions are due and payable to officers and all 2025 Restoration Policy payments are due and payable to eligible officers and all such payments are consistent with the executive compensation arrangements for Citizens Energy Group previously authorized by the Board, properly payable, and should be approved for payment by the Board.

**NOW, THEREFORE, BE IT RESOLVED BY THE BOARD OF DIRECTORS FOR UTILITIES OF THE DEPARTMENT OF PUBLIC UTILITIES OF THE CITY OF INDIANAPOLIS, that:**

**SECTION 1.** The foregoing recitals are incorporated herein by reference and are ratified, confirmed, and approved.

**SECTION 2.** The FY 2025 Plan payments due to Citizens Energy Group officers are hereby approved.

**SECTION 3.** The Restoration Policy payments due to eligible Citizens Energy Group officers for 2025 are hereby approved.

Upon a motion upon a motion duly made and seconded, the Board unanimously approved the retention of the external consultant for executive compensation for 2026.

The Chair then invited Ms. Carlstedt to provide a report on behalf of the Wastewater Resources Executive Committee. Ms. Carlstedt stated that the Committee received a financial report from Ms. Johnson stating that net earnings were lower than expected largely due to decreased operating revenues. She further reported that EBITDA was not sufficient to cover capital needs, primarily due to spending for the Dig Indy project. Total debt outstanding is \$1.9 billion, a ratio of 75% debt in the capital structure compared to the target ratio of 40% debt.

Ms. Carlstedt then reported that the Committee heard a report from Ms. McIver regarding the industrial pre-treatment ordinance. With the last amendment being in 2017, the language in the proposed resolution clarifies consistent terms when referring to the sewer system, wastewater dischargers, and industrial users required to hold an industrial Discharge Permit issued by CWA Authority, Inc. The committee is recommending approval for Resolution number CWA2-2011.

Next, Ms. Carlstedt stated that Ms. Mamuska-Morris gave a report to the Committee regarding Wastewater capital which included historic and projected capital costs highlighting that the large decrease in wastewater capital for years 2026-2030 is due to the completion of the Dig Indy project. The Dig Indy Project is substantially complete. The entire tunnel is now available to capture overflows. All 84 of the CSOs to be mitigated by the tunnel are open. Additionally, CWA hit a major milestone in October with over 7.5 billion gallons of CSO reduction. One of the more interesting projects outside of Dig Indy for Wastewater is the \$15 million Harding St. Large Diameter Sewer Rehabilitation project. This is one of the largest sewer rehabilitation projects Citizens has done in both cost and size of pipe.

Finally, Ms. Carlstedt informed the Board that Mr. Willman provided a report on Wastewater operations. The DigIndy project continues to perform as planned. Operating procedures for the DigIndy pump station continue to be reviewed and updated to reduce pump vibration and shaft stress during start-up and shut down. Further evaluation will be conducted to optimize pump reliability and performance.

The Chair then called to order the public hearing regarding the proposed amendments to CWA 2-2011 pertaining to the pre-treatment ordinance. Public notice of the hearing was posted according to state law requirements, and a copy of the notice will be attached to these minutes. Mr. Perkins stated that no written

comments were submitted. The Chair invited members of the public to offer remarks or comments. After inviting but receiving no public remarks, the Chair closed the public hearing. The Chair called for board approval of the proposed resolution. Upon a motion duly made and seconded, the Board unanimously approved the following resolution:

**WHEREAS**, CWA Authority, Inc. (the “CWA Authority”), is a political subdivision in the State of Indiana organized as an Indiana nonprofit corporation and established pursuant to an “Interlocal Cooperation Agreement for the Provision of Utility Services (Wastewater)” (the “Interlocal Agreement”) entered into among the Department of Public Utilities of the City of Indianapolis d/b/a Citizens Energy Group (“Citizens”), acting by and through the Board of Directors for Utilities for the City of Indianapolis (the “City”) and the Sanitary District of the City of Indianapolis, acting by and through the Board of Public Works (the “District”);

**WHEREAS**, pursuant to Special Ordinance No. 4-2010 and Special Ordinance No. 7-2010 (collectively, the “Ordinances”) passed by the City-County Council of Indianapolis and Marion County, Indiana and signed by the Mayor of Indianapolis on July 6, 2010, the City-County Council and the Mayor approved the transfer and delegation to, and vesting in and exercising by CWA Authority, all of the powers, duties, functions and obligations of the District (except the City’s taxing power and taxing authority) with respect to the wastewater system;

**WHEREAS**, the wastewater system assets were transferred and assigned to CWA Authority on August 26, 2011, and CWA Authority possesses all the powers that are necessary, useful or appropriate to own and operate the wastewater system and/or assert jurisdiction over disposal of sewage, including industrial wastes or other wastes;

**WHEREAS**, the Indiana Utility Regulatory Commission has approved the *Sewage Disposal Service Tariff Rates, Terms and Conditions for Sewage Disposal Service Within Marion County, Indiana and Contiguous Areas* (the “Terms & Conditions”) that contain obligations for industrial customer; and,

**WHEREAS**, CWA Authority received approval from the United States Environmental Protection Agency on March 29, 2016, for CWA Authority to implement the Industrial Pretreatment Program required at 40 CFR Part 403, now; therefore:

BE IT RESOLVED BY THE BOARD OF DIRECTORS OF CWA AUTHORITY, INC., as follows:

- CHAPTER 1. Purpose and Policy; Definitions; General Requirements.**
- CHAPTER 2. Requirements Applicable to All Users of the CWA Authority Sewer System.**
- CHAPTER 3. Permits, Agreements, Registrations, and Notifications.**
- CHAPTER 4. Industrial Discharge Permits.**
- CHAPTER 5. Special Discharge Approvals.**
- CHAPTER 6. Wastewater Hauling.**
- CHAPTER 7. Regulation for the Discharge of Fats, Oils, and Grease to the Sewer System.**
- CHAPTER 8. Noncompliance and Enforcement.**
- CHAPTER 9. Effective Date; Formalities.**
- CHAPTER 1. Purpose and Policy; Definitions; General Requirements.**

**Section 1.1. Purpose and policy.**

- a. This Resolution sets forth uniform requirements for users of, the construction of, and additions to the wastewater collection and treatment system owned and operated by the Department of Public Utilities of the City of Indianapolis, CWA Authority, Inc. and/or through Wholesale Sewage Disposal Service as outlined in the Terms and Conditions, as appropriate.
- b. These requirements enable the Board of Directors for CWA Authority to protect public health, protect Publicly Owned Treatment Works (“POTW”) personnel, including personnel working on behalf of CWA Authority, who may be affected by wastewater and sludge in the course of their employment and the general public, ensure a sound sewer infrastructure system in the future, ensure the ability to comply with its National Pollutant Discharge Elimination System (“NPDES”) permit conditions, sludge use and disposal requirements, and comply with all applicable local, state and federal laws relating thereto to which the POTW is subject.
- c. The objectives of this Resolution are:
  1. To prevent the introduction into the POTW of pollutants which will interfere with the normal operation of the system or contaminate the resulting sewage sludge;
  2. To prevent the introduction into the POTW of pollutants which will pass through the system into receiving waters or the atmosphere or otherwise be incompatible with such works;
  3. To promote reuse and improve the opportunity to recycle and reclaim wastewater and sludge from the system; and,
  4. To prevent the introduction into the sewer system of infiltration and inflow which will occupy capacity reserved for community growth.
- d. This Resolution provides for the regulation of discharges into the sewer system through the issuance of Industrial Discharge Permits, the execution of special agreements, and the enforcement of administrative regulations.
- e. In furtherance of these objectives, this Resolution details the general regulation of discharges to the sewer system and the issuance of permits, agreements, and registrations for users of the sewer system.

**Section 1.2. No Conflicts with Terms & Conditions of Service.**

This Resolution and the definitions and provisions herein are for implementation of this Industrial Pretreatment Program. The customer class definitions and provisions set forth in the Terms & Conditions are for purposes of the Terms & Conditions. Any conflict between the customer class definitions in this Resolution shall be resolved in favor of those the Terms & Conditions.

**Section 1.3. Rules and regulations and revisions therein.**

After the adoption of this Resolution, and from time to time thereafter as may be needed, the Board may by Resolution promulgate any additional rules and regulations and revisions to the existing rules and regulations necessary to implement and carry out the provisions of this Resolution and not inconsistent therewith.

**Section 1.4. Regulatory Authority.**

- a. The provisions of Section 307 and 308 of the “Federal Water Pollution Control Act Amendments” of 1972, and amendments thereto, including 40 CFR Parts 403 through 471, the State of Indiana regulations promulgated at 327 IAC 5-16 through 327 IAC 5-21, the provisions of this Resolution No. CWA 2-2011 including amendments, and the Terms and Conditions, are

- incorporated by reference into permits, approvals, or registrations issued pursuant to this Resolution.
- b. CWA Authority reserves the right to establish by Resolution more stringent limitations or requirements on discharges to the sewer system than those in this Resolution that may be deemed necessary to comply with the objectives presented in Section 1.1 of this Resolution and/or to comply with federal or state laws, regulations, or permits issued by such authorities, as appropriate.
  - c. Federal categorical pretreatment standards or state requirements and limitations on discharges shall apply in any case where they are more stringent than those in this Resolution. To the extent the federal regulations may contain stricter standards, the categorical pretreatment standards found in 40 CFR Chapter I, Subchapter N, Parts 405 - 471, are hereby incorporated by reference into this Resolution. To the extent the state regulations may contain stricter standards, the pretreatment standards found in 327 IAC 5-18-4 are hereby incorporated by reference into this Resolution.
  - d. Nothing in this Resolution should be construed to void or nullify obligations related to the approval by CWA Authority of connections to the sewer system, including obligations to obtain permits for laterals or other connections.

**Section 1.5 Abbreviations.**

The following abbreviations, when used in this Resolution, shall have the meaning denoted in Table 1.

***Table 1 - Abbreviations***

ASTM	American Society for Testing and Materials
BOD or BOD5:	Biochemical oxygen demand
CFR:	Code of Federal Regulations
COD:	Chemical oxygen demand
EPA:	U.S. Environmental Protection Agency
gpd	Gallons per Day
IC:	Indiana Code
IAC:	Indiana Administrative Code
IDEM:	Indiana Department of Environmental Management
IDHS:	Indiana Department of Homeland Security
IDOH	Indiana Department of Health
IU:	Industrial User
L or l	Liter
mg:	Milligrams
mg/L:	Milligrams per liter
NAICS	North American Industry Classification System
NPDES:	National Pollutant Discharge Elimination System
RCRA	Resource Conservation and Recovery Act
SIC:	Standard Industrial Classification

SNC:	Significant Noncompliance
SS:	Suspended solids
SU:	Standard Unit
SWDA:	Solid Waste Disposal Act, 42 USC § 6901 et seq.
TPH	Total petroleum hydrocarbons
TSS	Total suspended solids

**Section 1.6. Definitions.**

As used in this Resolution, the following terms shall have the meanings ascribed to them in this section unless the context specifically indicates otherwise.

- a. *Accidental discharge* or *accidental release* see definition of *Slug*.
- b. *Act* means the Federal Water Pollution Control Act, as amended as of January 1, 1995, 33 USC 1251 et seq., also known as the Clean Water Act.
- c. *Administrator* means the Regional Administrator of Region V, U.S. Environmental Protection Agency or Commissioner of the Indiana Department of Environmental Management or its successor, provided such state agency has a pretreatment program approved by the EPA.
- d. *Ammonia nitrogen*, or NH<sub>3</sub>-N, means the nitrogen in water, sewage or other liquid waste present in the form of ammonia, ammonia ion or in the equilibrium NH<sub>4</sub><sup>+</sup> NH<sub>3</sub> + H<sup>+</sup>.
- e. *Applicable pretreatment standard* means, for any specified pollutant, the Board's prohibitive discharge standards, the Board's specific limitations on discharges, the State of Indiana pretreatment standards, or the federal general or categorical pretreatment standards (when effective), whichever standard is most stringent.
- f. *Approval authority* means the administrator of the Environmental Protection Agency.
- g. *Authorized representative* of industrial user means:
  - 1. A responsible corporate officer if the industrial user is a corporation.
  - 2. A responsible corporate officer means:
    - a. A president, vice-president, treasurer or secretary of the corporation in charge of a principal business function or any other person who performs similar policy or decision-making functions for the corporation; or
    - b. A manager of one (1) or more manufacturing, production or operation facilities provided the manager is authorized to make management decisions that govern the operation of the regulated facility including having the explicit or implicit duty of making major capital investment recommendations and initiate and direct other comprehensive measures to assure long-term environmental compliance with environmental laws and regulations; the manager can ensure that the necessary systems are established or actions taken to gather complete and accurate information for individual wastewater discharge permit requirements; and authority to sign documents has been assigned or delegated to such manager in accordance with corporate procedures.
  - c. A general partner or proprietor if the industrial user is a partnership or sole proprietorship, respectively.
  - 3. For a municipality, state, federal or other public agency, by either a principal executive officer or ranking elected official. For purposes of this section, a principal executive officer of a federal agency includes: (i) the chief executive officer of the agency, or (ii) a senior executive officer having responsibility for the overall operations of a principal geographic unit of the agency (e.g., Regional Administrators of EPA).
  - 4. An individual duly authorized by the person designated in subsection (1), (2) or (3) above, provided:

- a. The authorization is made in writing by the individual described in subsection (1), (2) or (3) above;
- b. The authorization specifies either an individual or a position having responsibility for the overall operation of the facility from which the discharge originates, such as the position of plant manager, plant engineer, superintendent, or a position of equivalent responsibility or having overall responsibility for environmental matters for the company; and,
- c. The written authorization is submitted to the CWA Authority.
- h. *Best management practices* or “BMP” means any or all of the following:
  - 1. Schedules of activities;
  - 2. Prohibitions of practices;
  - 3. Maintenance procedures and other management practices to implement the prohibitions listed in 40 CFR §403.5(a)(1) and 40 CFR §403.5(b);
  - 4. Treatment requirements;
  - 5. Operating procedures; and/or
  - 6. Practices to control any of the following:
    - a. Plant site runoff;
    - b. Spillages or leaks;
    - c. Sludge or waste disposal; and/or
    - d. Drainage for raw materials storage.
- i. *Board* means the Board of Directors of CWA Authority, Inc.
- j. *Biochemical Oxygen Demand*, or BOD, means the quantity of oxygen utilized in the biochemical oxidation of organic matter under standard laboratory procedure in five (5) days at twenty (20) degrees centigrade, expressed in milligrams per liter (mg/l).
- k. *Bypass* means the intentional diversion of wastestreams from any portion of an Industrial user’s treatment facility.
- l. *Categorical pretreatment standard* means any regulation containing pollutant discharge limits or alternative best management practices promulgated by the EPA in accordance with section 307(b) and (c) of the Act that apply to specific categories of industrial user that are promulgated at 40 CFR Chapter I, Subchapter N, Parts 405-471.
- m. *Chemical Oxygen Demand*, or COD, means a measure of the oxygen required to oxidize all compounds, both organic and inorganic, in water.
- n. *Combined sewer* means a sewer that has been designed or intended to receive surface runoff from precipitation events, wastewater and sewage.
- o. *Commercial wastewater* means the liquid or liquid-borne wastes from commercial establishments including, but not limited to, restaurants, dry cleaners, service stations or auto repair facilities and retail establishments or public or private nonresidential buildings; and shall include any grease, oil, solvents, sludge or other material removed from any sewage disposal system or wastewater treatment plant.
- p. *Composite sample* means a sample representative of a user's discharge within a given twenty-four (24) hour period of operation. Samples may be done either manually or automatically, and continuously or discretely, with not less than four (4) samples to be composited or a sufficient number of individual aliquots to comprise a representative sample as determined by the director.
- q. *Control authority* means CWA Authority as the entity responsible for implementation of the Industrial Pretreatment Program pursuant to the delegation of authority issued by the U.S. Environmental Protection Agency on March 29, 2016.
- r. *Cooling water* means the water discharged from any use such as air conditioning, cooling or refrigeration or to which the only pollutant added is heat.
- s. *CWA Authority* means CWA Authority, Inc., an Indiana nonprofit corporation established pursuant to an interlocal cooperation agreement, dated as of August 9, 2010, by and among the City of Indianapolis, the Sanitary District of the City of Indianapolis, acting by and through

- the Board of Public Works, and the Department of Public Utilities of the City of Indianapolis, acting by and through the Board of Directors for Utilities as the Control Authority.
- t. *Daily maximum* means the arithmetic average of all effluent samples for a pollutant collected during a calendar day (or any 24-hour period that reasonably represents a calendar day for purposes of sampling, if approved by the Department).
  - u. *Daily maximum limit* means the maximum allowable discharge limit of a pollutant during a day. Where Daily Maximum Limits are expressed in units of mass, the “daily maximum” is the total mass discharged over the course of the day. Where the Daily Maximum Limits are expressed in terms of a concentration, the “daily maximum” is the arithmetic average measure of the pollutant concentration derived from all measurements taken that day.
  - v. *Department* means the CWA Authority, Inc.
  - w. *Direct discharge* means the discharge of treated or untreated wastewater directly to the surface waters of the state.
  - x. *Director* means the vice president of water operations for Citizens Energy Group or designee.
  - y. *Domestic wastewater* means wastewater of the type commonly introduced into a POTW by residential users, including the liquid-borne wastes resulting from normal residential water-consuming activities.
  - z. *EPA* means the U.S. Environmental Protection Agency, or, where appropriate, the term may also be used as a designation for the administrator or other duly authorized official of such agency.
  - aa. *Existing Source* means any source that is not a new source.
  - bb. *General pretreatment regulations* mean "General Pretreatment Regulations for Existing and New Sources of Pollution," 40 CFR Part 403.
  - cc. *Grab sample* means a sample that is taken from a waste stream on a one-time basis with no regard to the flow in the waste stream and without consideration of time over a period of time not to exceed fifteen (15) minutes.
  - dd. *IDEM* means the Indiana Department of Environmental Management, or where appropriate, the term may also be used as a designation for the Commissioner or other duly authorized official of such agency.
  - ee. *Indirect discharge or Discharge* means the discharge or the introduction of nondomestic pollutants from any source regulated under section 307(b) or (c) of the Clean Water Act (33 USC § 1317) into the POTW (including holding tank waste discharged into the system).
  - ff. *Industrial user, or IU*, means any user of CWA Authority’s sewer system (the POTW) that discharges, causes or permits the discharge of nondomestic wastewater, including Commercial or Industrial Wastewater into the POTW.
  - gg. *Industrial wastewater or non-domestic wastewater* means a combination of liquid and water-carried waste discharged from any industrial user's establishment and resulting from any trade or process carried on in that establishment, including the wastewater from pretreatment facilities, polluted cooling water, and polluted stormwater. The term includes the liquid or liquid-borne waste from industrial manufacturing process, trades or businesses.
  - hh. *Infiltration* means the water entering the sewer system from the adjacent ground through such means as, but not limited to, defective or poorly constructed pipes, pipe joints, connections and manholes or from drainage pipes constructed to remove groundwater from areas such as building foundations and farm fields.
  - ii. *Inflow* means the stormwater and surface water entering directly into the sewer system from such sources as, but not limited to, manhole covers, roof drains, basement drains, land drains, foundation drains, cooling/heating water discharges, catch basins or stormwater inlets.
  - jj. *Instantaneous limit* means the maximum concentration of a pollutant allowed to be discharged at any time, determined from the analysis of any discrete or composited sample collected, independent of the industrial flow rate and the duration of the sampling event.
  - kk. *Interference* means any discharge that, alone or in conjunction with a discharge or discharges from other sources:

1. inhibits or disrupts the POTW, including the collection system and/or the treatment processes or operations, or its sludge processes, use or disposal; and
2. therefore is a cause of a violation of any requirement of the POTW's NPDES permit (including an increase in the magnitude or duration of a violation) or of the prevention of sewage sludge use or disposal in compliance with the following statutory provisions and regulations or permits issued thereunder (or more stringent state or local regulations):
  - a. Section 405 of the Clean Water Act;
  - b. the Solid Waste Disposal Act (SWDA) (including Title II, more commonly referred to as the Resource Conservation and Recovery Act (RCRA), and including state regulations contained in any state sludge management plan prepared pursuant to Subtitle D of the SWDA);
  - c. the Clean Air Act;
  - d. the Toxic Substances Control Act; and,
  - e. the Marine Protection, Research and Sanctuaries Act.
- ll. *Lift station* means any arrangement of pumps, valves and controls that lifts wastewater to a higher elevation.
- mm. *Local Limit* means specific discharge limits developed and enforced by CWA Authority upon industrial or commercial facilities to implement the general and specific discharge prohibitions listed in 40 CFR §403.5(a)(1) and (b).
- nn. *Medical waste* means isolation wastes, infectious agents, human blood and blood products, pathological wastes, sharps, body parts, contaminated bedding, surgical wastes, potentially contaminated laboratory wastes, and dialysis wastes.
- oo. *Monthly average* means the sum of all "daily discharges" measured during a calendar month divided by the number of "daily discharges" measured during that month.
- pp. *Monthly average limitation* means the highest allowable average of "daily maximums" over a calendar month calculated as the sum of all "daily maximums" measured during a calendar month divided by the number of "daily maximums" measured during that month.
- qq. *New source* means:
  - (1) any building, structure, facility or installation from which there is or may be a discharge of pollutants, the construction of which commenced after the publication of proposed pretreatment standards under Section 307(c) of the Clean Water Act, which will be applicable to such source if such standards are thereafter promulgated in accordance with that section, provided that:
    - a. The building, structure, facility or installation is constructed at a site at which no other source is located;
    - b. The building, structure, facility or installation totally replaces the process or production equipment that causes the discharge of pollutants at an existing source; or
    - c. The production or wastewater-generating processes of the building, structure, facility or installation are substantially independent of an existing source at the same site. In determining whether these are substantially independent, factors such as the extent to which the new facility is integrated with the existing plant, and the extent to which the new facility is engaged in the same general type of activity as the Existing Source, should be considered.
  2. Construction on a site at which an existing source is located results in a modification rather than a new source if the construction does not create a new building, structure, facility or installation meeting the criteria of (1).b or (1).c above but otherwise alters, replaces, or adds to existing process or production equipment.
  3. Construction of a new source has commenced if the owner or operator has:
    - a. Begun or caused to begin as part of a continuous on-site construction program:
      - i. Any placement, assembly or installation of facilities or equipment; or
      - ii. Significant site preparation work, including clearing, excavation or removal of existing buildings, structures or facilities that is necessary for the placement, assembly or installation of new source facilities or equipment; or

- b. Entered into a binding contractual obligation for the purchase of facilities or equipment that is intended to be used in its operation within a reasonable time. Options to purchase or contracts that can be terminated or modified without substantial loss, and contracts for feasibility, engineering, and design studies do not constitute a contractual obligation under this paragraph.
- rr. *Noncontact cooling water* means water used for cooling that does not come into direct contact with any raw material, intermediate product, waste product, or finished product.
- ss. *Nonindustrial user* means all users of the POTW not included in the definition of "industrial user."
- tt. *Pass-through* means a discharge that exits the POTW into waters of the state in quantities or concentrations that, alone or in conjunction with a discharge or discharges from other sources, is a cause of a violation of any requirement of the POTW's NPDES permit including an increase in the magnitude or duration of a violation.
- uu. *Person* means any user, individual, partnership, co-partnership, trust, firm, company, association, society, corporation, group, estate, joint stock company, governmental agency (including, but not limited to, the United States of America, the State of Indiana and all political subdivisions, authorities, districts, departments, agencies, bureaus and instrumentalities thereof), or any other legal entity or any combination of such.
- vv. *pH* means a measure of the acidity or alkalinity of a solution, expressed in standard units representing the logarithm of the reciprocal of the weight of hydrogen ions in grams per liter of solution.
- ww. *Pollutant* means, but is not limited to, any dredged spoil, solid waste, incinerator residue, filter backwash, sewage, garbage, sewage sludge, munitions, medical waste, chemical materials, chemical wastes, biological materials, radioactive materials, heat, wrecked or discharged equipment, rock, sand, cellar dirt, and industrial, municipal and agricultural waste discharged into water, and certain characteristics of wastewater (including pH, temperature, TSS, turbidity, color, BOD, COD, toxicity, or odor).
- xx. *Pollution* means the man-made or man-induced alteration of the chemical, physical, biological and radiological integrity of water.
- yy. *Pretreatment or treatment* means the reduction of the amount of pollutants, the elimination of pollutants, or the alteration of the nature of pollutant properties in wastewater to a less harmful state prior to or in lieu of discharging or otherwise introducing such pollutants into the POTW. The reduction or alteration can be obtained by physical, chemical or biological processes or process changes or other means, except as prohibited by 40 CFR §403.6(d).
- zz. *Pretreatment requirements* mean any substantive or procedural requirement related to pretreatment imposed on a user, other than a pretreatment standard.
- aaa. *Pretreatment standard or regulation* means any substantive or procedural requirement related to pretreatment contained in this Resolution.
- bbb. *Prohibited discharge standards* or prohibited discharges mean an absolute prohibition against the discharge of certain substances.
- ccc. *Process wastewater* means any water that, during manufacturing or processing, comes into direct contact with or results from the production or use of any raw material, intermediate product, finished product, byproduct, or waste product.
- ddd. *Properly shredded garbage* means the wastes from the preparation, cooking and dispensing of food that has been shredded to such a degree that all particles will be carried freely under the flow conditions normally prevailing in the sewer system, with no particle greater than one-half (½) inch (one and twenty-seven one-hundredths (1.27) centimeters) in any dimension.
- eee. *Publicly Owned Treatment Works (POTW) Treatment Plant* means that portion of the POTW which is designed to provide treatment (including recycling and reclamation) of municipal sewage and industrial waste.
- fff. *Publicly Owned Treatment Works ("POTW")* means all publicly owned facilities for collecting, pumping, treating and disposing of wastewater, including sewers, lift stations, manhole stations

and the wastewater treatment plants. This includes any devices or systems used in the collection, storage, treatment, recycling, and reclamation of sewage or industrial wastes of a liquid nature and any conveyances, which convey wastewater to a treatment plant.

- ggg. *Qualified Professional* means a technical person with comprehensive knowledge of the industrial user and its wastewater processes. The qualified professional and authorized representative can be the same person provided the requirements of the authorized representative are satisfied.
- hhh. *Radioactive material* means any material (solid, liquid or gas) that spontaneously emits ionizing radiation and that is regulated by the U.S. Nuclear Regulatory Commission or the Indiana Department of Homeland Security. This may include naturally occurring radioactive material, by-product material, accelerator produced material, source material or special nuclear material.
- iii. *Report* means any information, including but not limited to the submittal of analytical results, flow data, discharge information, non-compliance to the director by an industrial user as a requirement of this Resolution.
- jjj. *Sanitary district* means that area incorporated into the sanitary district established pursuant to IC 36-9-25.
- kkk. *Sanitary sewer* means a sewer that carries sewage and to which stormwaters, surface waters and groundwaters are not intentionally admitted.
- lll. *Septic tank waste* means any sewage from holding tanks such as vessels, chemical toilets, campers, trailers, and septic tanks.
- mmm. *Severe property damage* means substantial physical damage to property, damage to the treatment facilities which causes them to become inoperable, or substantial and permanent loss of natural resources which can reasonably be expected to occur in the absence of a bypass. Severe property damage does not mean economical loss caused by delays in production.
- nnn. *Sewage* means human excrement and gray water (household showers, dishwashing operations, etc.).
- ooo. *Sewage disposal system* means and includes septic tanks, wastewater holding tanks, seepage pits, cesspools, privies, composting toilets, interceptors or grease traps, portable sanitary units and other equipment, facilities or other devices used to store, treat, render inoffensive or dispose of human excrement or liquid-borne wastewater.
- ppp. *Sewage normally discharged by a residence* means the liquid waste contributed by a residential living unit and shall not exceed a volume of ten thousand five hundred (10,500) gallons per month, thirty (30) pounds of BOD per month, and thirty-five (35) pounds of suspended solids per month.
- qqq. *Sewage Sludge* means any solid, semi-solid, or liquid residue removed during the treatment of wastewater or domestic sewage. Sewage sludge includes, but is not limited to, solids removed during primary, secondary, or advanced wastewater treatment, scum, septage, portable toilet pumpings, and sewage sludge products.
- rrr. *Sewer system* means the collection of pipes for carrying wastewater and sewage from the customer to the POTW treatment works.
- sss. *Sewer work* means the connecting of any building sewer to the sewer system, the making of a significant alteration to or significant repair of a building sewer, the connecting of a building sewer to a building drain or the altering or repairing of the sewer system.
- ttt. *Significant industrial user*, or SIU, means, except as provided in (6) any industrial user that is:
1. A facility regulated by a national categorical pretreatment standard and generates a process discharge;
  2. A facility with a process wastewater discharge equal to or greater than an average of twenty-five thousand (25,000) gallons per day (excluding sanitary, noncontact cooling, and boiler blowdown wastewater);

3. Any industrial user with a reasonable potential to adversely affect the POTW, its treatment processes or operations, or its sludge use or disposal or for violating any pretreatment standard or requirement;
4. Any other industrial user deemed to be significant by the director on the basis that the industrial user has a reasonable potential for adversely affecting the POTW's operation or for violating any pretreatment standard or requirement; or
5. Any other industrial user that contributes process wastewater that makes up five (5) percent or more of the dry weather average hydraulic or organic capacity of the POTW treatment plant.
6. Any industrial user may be determined to be a nonsignificant categorical user rather than a significant industrial user if the industrial user never discharged more than 100 gallons per day (gpd) of total categorical wastewater (excluding sanitary, noncontact cooling and boiler blowdown wastewater, unless specifically included in the pretreatment standard) and the following conditions are met:
  - a. The industrial user, prior to the director's findings, has consistently complied with all applicable Pretreatment Standards and requirements.
  - b. The industrial user annually submits the certification statement required in 40 CFR §403.12(q) together with any additional information necessary to support the certification statement.
  - c. The industrial user never discharges any untreated concentrated wastewater.

Upon a finding that an industrial user meeting the criteria of paragraphs (2), (3), (4) and (5) of this section has no reasonable potential for adversely affecting the POTW's operation or for violating any pretreatment standard or requirement, the director may at any time, on the director's own initiative or in response to a petition received from an industrial user, and in accordance with 40 CFR §403.8(f)(6), determine that such industrial user is not a significant industrial user.

- uuu. *Slug, slug load, or slug discharge* means any discharge of a non-routine, episodic nature, including but not limited to an accidental discharge, accidental spill, or a non-customary batch discharge, which has reasonable potential to cause interference or pass through, or in any other way violate any requirement of this Resolution or the POTW's NPDES permit (including an increase in the magnitude or duration of a violation).
- vvv. *Storm drain or storm sewer* means a sewer that carries stormwaters and surface waters and drainage but excludes sewage and industrial wastes.
- www. *Stormwater* means any flow occurring during or following any form of natural precipitation and resulting therefrom, including snowmelt.
- xxx. *Tank* means any container when placed on a vehicle to transport wastewater.
- yyy. *Technical Review Criteria* means a factor equal to 1.4 for BOD, TSS, Fats, Oil and Grease or equal to 1.2 for all other pollutants except pH which is used to determine whether a Technical Review Criteria Violation has occurred.
- zzz. *Terms and Conditions of Service* means Sewage Disposal Service Tariff Rates, Terms and Conditions for Sewage Disposal Service within Marion County, Indiana, and Contiguous Areas as approved by the Indiana Utility Regulatory Commission.
- aaaa. *Total Petroleum Hydrocarbons* or TPH, refers to the analytical results measuring non-polar material oil and grease, n-hexane extractable material, or silica gel treated n-hexane extractable material, in a sample.
- bbbb. *Total suspended solids (TSS) or suspended solids (SS)* means the total solids that either float on the surface of or are in suspension in water, sewage or other liquids and that are removable by laboratory filtering.
- cccc. *Toxic pollutant* means any pollutant or combination of pollutants listed as toxic in regulations promulgated by the administrator of the Environmental Protection Agency under the provisions of Clean Water Act §§ 307(a) or 405(d) or other acts.
- dddd. *Upset* means an exceptional incident in an industrial user's facility, in which there is unintentional and temporary noncompliance with applicable pretreatment standards because of factors beyond the reasonable control of the industrial user. An upset does not include

noncompliance to the extent caused by operational error, improperly designed treatment facilities, inadequate treatment facilities, and lack of preventive maintenance or careless or improper operation.

eeee. *User* means any person who contributes, causes or permits the contribution or discharge of wastewater into the POTW.

ffff. *Vehicle* means a device used to transport a tank.

gggg. *Wastewater* means a combination of the liquid and water-carried pollutants from residences, commercial businesses, institutions and industrial establishments.

hhhh. *Wastewater hauler* means any person who engages in the activity, service, business or leasing of vehicles for the purpose of transporting domestic and/or industrial hauled wastewater to another location for disposal.

iiii. *Wastewater treatment plant* means any arrangement of devices and structures used for treating wastewater.

jjjj. *Weekly* for purposes of this Resolution shall mean midnight on Sunday through the following Saturday at 11:59 PM local time.

### **Section 1.7. Language Construct.**

- a. For purposes of this Resolution, ‘shall’ denotes a requirement that is mandatory; ‘may’ is permissive.
- b. The words ‘discharger’, ‘industrial user’, ‘user’, ‘customer’, and ‘owner’ are used collectively to describe any party that discharges to the CWA Authority sewer system.
- c. Where used in this Resolution, the words ‘director’ and references to CWA Authority, Inc., shall be interpreted to convey the rights described to and include representatives of the EPA and/or the Indiana Department of Environmental Management or their authorized designees.
- d. Unless otherwise specified, the use of the word ‘day’ for purposes of deadlines shall be interpreted to mean calendar day (12:00 AM – 11:59 PM).

## **Chapter 2. Requirements Applicable to All Users of the CWA Authority Sewer System**

### **Section 2.1. Certain discharges to the sewer system are prohibited.**

- a. These general prohibitions apply at the point of discharge to the sewer system and are applicable to all users.
- b. No person shall introduce or cause to be introduced into the sewer system any pollutant or wastewater which causes interference or that otherwise creates conditions that interfere alone or in combination with other discharges with the operation of the sewer system.
- c. No person shall discharge or cause to be discharged any stormwater, surface water, groundwater, roof runoff or subsurface drainage into any sanitary sewer.
  1. Stormwater and all other unpolluted drainage may be discharged through existing structures to such sewers as are specifically designated as combined sewers or storm sewers. No additional flow shall be introduced.
  2. Industrial cooling waters or unpolluted process waters may be discharged, on approval of application as provided in Chapter 3 of this Resolution.

- d. No person shall discharge or cause to be discharged to the sewer system wastewater or pollutants which cause, threaten to cause or are capable of causing, either alone or by interaction with other substances:
  1. Fire or explosion hazard, including but not limited to wastestreams with a closed-cup flashpoint of less than 140 degrees Fahrenheit [60 degrees Celsius] using the test methods specified in 40 CFR §261.21;
  2. Corrosive structural damage to the POTW but in no case water with a pH lower than 5.0 or higher than 12.0 standard units (S.U.);
  3. Obstruction to the flow in the sewer system or other interference with the proper operation of the POTW;
  4. An interference; or,
  5. A pass-through.
  
- e. No person shall discharge or cause to be discharged to the sewer system:
  1. A slug or a flow rate and/or pollutant discharge rate which is excessive over a relatively short time period so that there is a treatment process upset and subsequent loss of treatment efficiency;
  2. Heat in amounts which will inhibit biological activity at the wastewater treatment plant but in no case greater than sixty (60) degrees centigrade (one hundred forty (140) degrees Fahrenheit) or heat in such quantities that the temperature at the wastewater treatment plant exceeds forty (40) degrees centigrade (one hundred four (104) degrees Fahrenheit);
  3. Any wastewater containing toxic pollutants or any discharge which could result in toxic gases, fumes or vapors in sufficient quantity, either singly or by interaction with other pollutants, to injure or interfere with any wastewater treatment process, constitute a hazard to humans or animals, create a toxic effect in the receiving waters of the POTW, or to exceed applicable categorical pretreatment standards;
  4. A wastewater with a closed cup flash point of less than one hundred forty (140) degrees Fahrenheit or any liquids, solids or gases which, by reason of their nature or quantity, are or may be sufficient, either alone or by interaction with other substances, to cause fire or explosion or be injurious or hazardous in any other way to the POTW or to the operation of the wastewater treatment plant;
  5. At no time shall a discharge cause a reading on a meter capable of reading lower explosive limit (LEL) to be greater than ten percent (10%) at the point of discharge to the POTW or at any point in the POTW;
  6. Any noxious or malodorous liquids, gases or solids which, either singly or by interaction with other wastes, are sufficient to create a public nuisance or hazard to life or are sufficient to prevent entry into the sewers for maintenance and repair;
  7. Solid or viscous substances and/or other pollutants which may cause obstruction to the flow in a sewer or other interference with the operation of the POTW such as, but not limited to, grease, improperly shredded garbage, animal guts or tissues, paunch manure, bones, hair, hides or fleshings, entrails, whole blood, feathers, ashes, cinders, sand, spent lime, stone or marble dust, metal, glass, straw, shavings, grass clippings, rags, wipes, spent grains, spent hops, wastepaper, wood, plastics, tar, asphalt residues from refining or processing of fuel or lubricating oil, mud or glass grinding or polishing wastes, or tumbling and deburring stones;
  8. Detergents, surface-active agents, or other substances, either singly or by interaction with other wastes, that might cause excessive foaming in the POTW;
  9. Any substance which may cause the POTW's effluent or any other product of the wastewater works such as residues, sludges or scums to be unsuitable for reclamation and reuse or to interfere with the reclamation process. In no case shall a substance discharged to the POTW cause the POTW to be in noncompliance with sludge use or disposal criteria, guidelines or regulations developed under Section 405 of the Clean Water Act;

10. Any substance which will cause the POTW to violate its NPDES permit or the receiving stream's water quality standards;
11. Any wastewater with objectionable color not removed in the treatment process, such as, but not limited to, dye wastes, inks and vegetable tanning solutions;
12. Any wastewater containing radioactive material above limits contained in regulations, licenses or orders issued by the appropriate authority having control over their use. The disposal of any licensed radioactive material shall meet applicable local, state or federal requirements;
13. Any wastewater containing a total petroleum hydrocarbons concentration as determined by a procedure deemed appropriate by the director in excess of two hundred (200) mg/l. This limitation shall apply at the point of discharge to the sewer system and is the maximum concentration allowed in any single grab sample collected from the waste stream;
14. Any gasoline, kerosene, naphtha, benzene, toluene, xylene, ethers, alcohols, ketones, aldehydes, peroxides, chlorates, perchlorates, carbides, hydrides, Stoddard solvents, sulfides, epoxides, esters, amines, polynuclear aromatic hydrocarbons, pyridines, new and used motor oil, other petroleum-based oils or products, ethylene glycol, or antifreeze, in amounts that will cause interference or pass through or otherwise violate any Chapter of this Resolution or the conditions of a permit, agreement, or registration;
15. Polychlorinated biphenyls (PCBs) in any detectable concentrations;
16. Any pollutant, including oxygen demanding pollutants, released in a discharge at a flow rate and/or pollutant concentration which will cause interference with the POTW; and
17. Any trucked or hauled pollutants, or discharges to the CWA Authority's sewer system, except at discharge points designated and approved by CWA Authority.

**Section 2.2. Noncategorical (“Local”) Discharge Limits.**

- a. No person shall discharge or cause to be discharged a wastewater which has a value in excess of the values shown on Table 2.

**Table 2 - NONCATEGORICAL (“LOCAL”) DISCHARGE LIMITS**

Pollutant	Daily Maximum Allowable Concentration Value (mg/l)
Arsenic	4.0
Beryllium	19.58
Cadmium	1.2
Chromium (total)	24.0
Chromium (hex)	3.4
Copper	2.2
Cyanide (amenable)	0.4
Lead	4.7
Mercury	0.025
Nickel	7.3
Total Phenols	46.0
Pentachlorophenol	0.012
Selenium	21.5
Silver	4.2
Zinc	38.

- b. The noncategorical (local) discharge limits set forth in Table 2, established pursuant to 40 CFR §403.5(c), apply at the point of discharge to the CWA Authority's sewer system unless specified otherwise.
- c. The noncategorical (local) discharge limits for amenable cyanide, total cyanide and phenols apply to twenty-four-hour composite samples only in those cases where the composite sample is preserved according to EPA approved methods prior to collection. Otherwise, the values set forth for amenable cyanide, total cyanide and phenols or, with the approval of the director; any other listed pollutants shall apply to an instantaneous grab sample taken during prevailing discharge conditions and representative of the facility's discharge in general.
- d. CWA Authority may authorize industrial users holding Industrial Discharge Permits issued pursuant to this Resolution subject to the noncategorical (local) discharge limits to forego sampling and analysis of a pollutant regulated by this Resolution if the Industrial User has demonstrated through sampling and other technical factors that the pollutant is neither present nor expected to be present in the discharge, or is present only at background levels from intake water and without any increase in the pollutant due to activities of the industrial user. This authorization is subject to the following conditions:
  - 1. The monitoring waiver is valid only for the duration of the effective period of the Industrial Discharge Permit issued pursuant to Chapter 4 of this Resolution.
  - 2. The User shall submit a new request for the waiver before the waiver can be granted for each subsequent Industrial Discharge Permit issued pursuant to Chapter 4.
  - 3. This issuance of a waiver shall be documented in the Industrial Discharge Permit.
  - 4. The reasons supporting the waiver and any information submitted by the User in its request for the waiver shall be maintained by CWA Authority for five (5) years after expiration of the waiver.
  - 5. In making a demonstration that a pollutant is not present, the user shall provide data from at least one sampling of the facility's process wastewater prior to any treatment present at the facility that is representative of all wastewater from all processes.
  - 6. Non-detectable sample results may only be used as a demonstration that a pollutant is not present if the EPA approved method from 40 CFR part 136 with the lowest minimum detection level for that pollutant was used in the analysis.
  - 7. Upon approval of the monitoring waiver and revision of the user's permit by the CWA Authority, the industrial user shall certify on each report with the statement below, that there has been no increase in the pollutant in its wastestream due to activities of the Industrial User:

*Based on my inquiry of the person or persons directly responsible for managing compliance with the Noncategorical (Local) Discharge Limits, I certify that, to the best of my knowledge and belief, there has been no increase in the concentration of **[list pollutant(s)]** in the wastewaters due to the activities at the facility since filing of the last periodic report under 40 CFR 403.12(e)(1), Resolution No. CWA 2-2011, and/or the Industrial Discharge Permit issued pursuant to Chapter 4 of Resolution No. CWA 2-2011.*

**Section 2.3. Monitoring Procedures.**

- a. Unless otherwise specified, all sampling and analysis required pursuant to this Resolution or a condition of any permit, agreement, or registration issued pursuant to this Resolution shall be performed in accordance with the requirements and procedures found in the most current version of 40 CFR Part 136.
- b. The frequency of monitoring shall be prescribed in the applicable pretreatment standard, agreement, or permit.

- c. Where 40 CFR Part 136 does not include sampling or analytical techniques for the pollutants in question, or where the director determines that the 40 CFR Part 136 sampling and analytical techniques are inappropriate for the pollutant in question, sampling and analyses shall be performed using validated analytical methods or other sampling and analytical procedures approved by the director.
- d. Users seeking to use alternative methods for sampling and/or analysis shall have approval from the CWA Authority prior to the use of those alternative methods.

**Section 2.4. Right of entry and inspection.**

- a. Whenever required to carry out the objectives of this Resolution, the director or authorized representative shall have a right of entry to, upon or through any premises or vehicle, for purposes of reviewing and copying relevant records, inspecting, measuring and sampling of the discharges, and collecting all pertinent information (including photographic documentation) to assess compliance.
- b. If requested, the director or authorized representative shall present appropriate credentials.
- c. This right of entry shall include, but not be limited to, use and placement of any equipment necessary to conduct such inspections, including measuring and sampling of discharges.
- d. It shall be the duty of the user to provide all necessary clearance before entry and not to unnecessarily delay or hinder the director or authorized representative in carrying out the review of relevant records, inspection, measuring and sampling.
- e. The right of entry and inspection shall exist at any time.

**Section 2.5. Discharge of hazardous wastes.**

Any user which discharges a substance, which if disposed of otherwise would be a hazardous waste under 40 CFR Part 261, shall give prior written notification to the director, the Indiana Department of Environmental Management, and EPA Region V of such discharge, in accordance with the requirements of 40 CFR Part 261 and 40 CFR §403.12(p).

**Section 2.6 Restrictions on dilution.**

- a. No user shall ever increase the use of process water, or other flows, or in any way attempt to dilute a discharge as a partial or complete substitute for adequate treatment to achieve compliance with the limitations contained in this Resolution, or in any other pollutant-specific limitation developed by the Board or limitation contained in any permit or agreement unless expressly authorized by an applicable federal categorical pretreatment standard or requirement.
- b. The director may impose mass limitations on users which are using dilution to meet applicable pretreatment standards or requirements, or in other cases when the imposition of mass limitations is appropriate.

**Section 2.7. Protection against accidental discharge and slug discharges.**

- a. Each user shall provide protection from accidental discharge or slug discharge of substances regulated by this Resolution. Facilities to prevent accidental discharge shall be provided and maintained at the owner's or user's own cost and expense.
- b. Detailed plans showing facilities and operating procedures to provide this protection shall be available to CWA Authority for review.
- c. No industrial user who commences contribution to the POTW after the effective date of this Resolution shall be permitted to introduce pollutants into the system until accidental discharge procedures are available. Such plans and operating procedures shall not relieve the industrial user from the responsibility to modify the industrial user's facility as necessary to meet the requirements of this Resolution.
- d. A notice shall be permanently posted in a prominent place advising affected employees whom to call in the event of an accidental discharge or slug discharge. Employers shall ensure that all employees who may cause or identify such an accidental discharge or slug discharge to occur are advised of the emergency notification procedure.
- e. In the case of an accidental discharge or slug discharge, it is the responsibility of the user to immediately notify CWA Authority of the incident. The notification shall include:
  1. Name of company;
  2. Location of discharge;
  3. Type of waste discharged;
  4. Concentration and volume of waste discharged; and
  5. Corrective actions taken to minimize the impact of the discharge to the POTW.
- f. The user shall notify CWA Authority if it is unable to comply with any requirement of this Resolution because of a breakdown of its treatment equipment, accidents caused by human error, or upsets. The notification shall include the information required in subsection (e) above.
- g. Within five (5) business days, unless extended by CWA Authority in writing, the user shall submit to CWA Authority a detailed written report describing the accidental discharge, including:
  1. The cause of the accidental discharge;
  2. The period of the accidental discharge, including exact dates and times or, if not corrected, the anticipated time the noncompliance is expected to continue;
  3. Steps being taken and/or planned to reduce, eliminate or prevent recurrence of the accidental discharge.
  4. Such notification shall not relieve the user of any expense, loss, damage or other liability which may be incurred as a result of damage to the wastewater works or aquatic life, fish kills, or any other damage to persons or property; nor shall such notification relieve the user of any fines, civil penalties or other liability which may be imposed by this Resolution or other applicable law.
- h. An affirmative defense of upset may be available to an industrial user in an enforcement proceeding. In any enforcement proceeding, the industrial user seeking to establish the occurrence of an upset shall have the burden of proof. An industrial user who wishes to establish the affirmative defense of upset shall demonstrate, through properly signed, contemporaneous operating logs or other relevant evidence, that:
  1. An upset occurred and the industrial user can identify the specific cause(s) of the upset;
  2. The facility was at the time being operated in a prudent and workmanlike manner and in compliance with applicable operation and maintenance procedures; and,

3. The industrial user has submitted to CWA Authority the information required in subsections (e) and (g) above.
- i. Any upset defense is only available for violations of categorical pretreatment standards or technology-based permit effluent limitations.

**Section 2.8. Plan to control slug discharges.**

- a. CWA Authority shall evaluate and document whether each user needs a plan or other action to control slug discharges.
- b. Significant industrial users and all users required by CWA Authority to have a slug control plan shall notify CWA Authority immediately of any changes at a facility affecting potential for a slug discharge.
- c. Slug control plans shall contain, at a minimum, the following elements:
  1. Description of discharge practices, including non-routine batch discharges;
  2. Description of stored chemicals;
  3. Procedures for immediately notifying CWA Authority of slug discharges, including any discharge that would violate a prohibition under Section 2.1 of this Resolution with procedures for follow-up written notification within five (5) days; and
  4. If necessary, procedures to prevent adverse impact from accidental spills, including inspection and maintenance of storage areas, handling and transfer of materials, loading and unloading operations, control of plant site run-off, worker training, building of containment structures or equipment, measures for containing toxic organic pollutants (including solvents), and measures and equipment for emergency response.

**Section 2.9. Permission to discharge is subject to limitations**

CWA Authority reserves the right to either refuse acceptance of non-domestic wastewater discharges to the sewer system and/or to require the cessation of any discharge of non-domestic wastewater to the sewer system if, based on knowledge available to CWA Authority and/or information presented by the user regarding the quality or quantity of wastewater proposed for discharge, the wastewater can or could result in the following impacts, including but not limited to interference, pass through, or harm, future harm and/or liability to the POTW, including the POTW treatment plant.

**Section 2.10. Liability for damage.**

- a. If any person discharges or causes to be discharged a waste which causes interference, pass-through, obstruction, damage or any other impairment to the POTW, CWA Authority may assess a charge against such person for:
  1. The work required to clean or repair the POTW;
  2. Any civil penalty, fine or cost of compliance with injunctions or other orders of a court or governmental authority imposed against CWA Authority as a result of such interference, obstruction, damage or impairment; and,
  3. All other costs incurred by CWA Authority as a result of such interference, pass through, obstruction, damage or impairment including but not limited to expert, consultant and attorneys' fees.
- b. A person shall have an affirmative defense to any charge assessed against it under this Resolution where the person can demonstrate that it did not know or have reason to know that its discharge,

alone or in conjunction with a discharge or discharges from other sources, would cause pass through or interference, and:

1. A non-categorical local limit designed to prevent pass through or interference, as the case may be, has been developed for each pollutant in the person's discharge that caused pass through or interference, and the person was in compliance with such local limit directly prior to and during the pass through or interference; or
  2. If a local limit designed to prevent pass through or interference, as the case may be, has not been developed for the pollutant(s) that caused the pass through or interference, the person's discharge directly prior to and during the pass through or interference did not change substantially in nature or constituents from the person's prior discharge activity when the POTW was regularly in compliance with the POTW's NPDES permit requirements and, in the case of interference, applicable requirements for sewage sludge use or disposal.
- c. CWA Authority is authorized by this Resolution to add such charges imposed under this Section to such person's regular charges for service authorized by the Terms & Conditions.
  - d. Nothing in this Section precludes other enforcement remedies as defined in Chapter 8 of this Resolution.

**Section 2.11. Emergency suspension of right to discharge non-domestic wastewater.**

- a. Notwithstanding any other provisions of this Resolution, the director may:
  1. After informal notice to the user, suspend the right to discharge non-domestic wastewater when such suspension is necessary, in the determination of the director, in order to stop an actual or threatened discharge that presents or may present an imminent or substantial endangerment to the health or welfare of persons, and/or
  2. After notice and an opportunity to respond, suspend the right to discharge non-domestic wastewater when such suspension is necessary, in the opinion of the director, in order to stop an actual or threatened discharge that presents or may present an endangerment to the environment or causes interference to the POTW, or causes the CWA Authority to violate any condition of its NPDES permit.
- b. Any user notified of a suspension of the right to discharge non-domestic wastewater shall immediately stop or eliminate the contribution.
- c. In the event of a failure of the person to comply voluntarily with the suspension order, the director shall take such steps as deemed necessary, including immediate severance of the sewer connection, to prevent or minimize damage to the POTW or endangerment to any individuals.
- d. A detailed written statement submitted by the user describing the cause(s) of the harmful contribution and the measures taken to prevent any future occurrence shall be submitted to CWA Authority within five (5) days of the date of occurrence.
- e. The director shall reinstate the industrial discharge permit right to discharge non-domestic wastewater and/or the wastewater treatment service upon proof of the elimination of the noncomplying discharge.
- f. The user shall pay all costs associated with disconnecting from and reconnecting to the sewer system.

**Section 2.12. Monitoring devices and metering equipment.**

- a. The director may require, as is necessary to carry out the requirements of this Resolution, any user of the system to construct, at the user's expense, monitoring facilities to allow inspection, sampling and flow measurement of the building drain or sewer.
- b. The director may require sampling or metering equipment to be provided, installed, operated, and maintained at the user's expense.
- c. Users with the potential to discharge flammable substances may be required to install and maintain an approved combustible gas detection meter.
- d. The monitoring facility should normally be situated on the user's premises, but the director may, when such a location would be impractical or cause undue hardship, upon approval allow the facility to be constructed in the public right-of-way; provided, however, CWA Authority shall determine the locations on the public right-of-way on or below which the monitoring device and facility shall be placed.
- e. Users required to obtain a permit pursuant to Chapter 4 of this Resolution and/or those users subject to categorical pretreatment standards shall have the option to designate a sampling location at a point containing only regulated process wastewaters or at a point containing the combined waste stream to demonstrate compliance with the applicable standard.
- f. The user shall prove to the satisfaction of CWA Authority that the selected self-monitoring location contains all regulated waste streams. This option does not relieve the industrial user of the requirements specified in subsection (a) of this Section.
- g. A user shall obtain written approval of CWA Authority prior to changing the point of self-monitoring activities.

**Section 2.13. Recordkeeping requirement.**

- a. Any user subject to the reporting requirements established in this Resolution, through a permit, agreement or registration issued pursuant to this Resolution, or under the provisions of a categorical pretreatment standard shall maintain records of all information resulting from any monitoring activities required by this Resolution, including documentation required by best management practices. Such records shall include for all samples:
  1. The date, exact place, method and time of sampling and the name(s) of the person or persons taking the samples;
  2. The dates analyses were performed;
  3. The name, title and address of the person or persons who performed the analyses;
  4. The analytical techniques/methods used; and
  5. The results of such analyses.
- b. Any user subject to the reporting requirements established in this Resolution shall be required to retain for a minimum of five (5) years any records of monitoring activities and results and shall make such records available for inspection and copying by CWA Authority, the EPA and the IDEM or their authorized representatives.
- c. CWA Authority, the EPA and/or the IDEM may extend the recordkeeping retention requirement beyond five (5) years during periods of litigation, in anticipation of litigation, or as requested by the approval authority.

- d. Records maintained pursuant to this Resolution are deemed to be public records subject to the provisions of IC 5-14-3-1 *et seq.* except as provided in Section 2.15 of this Resolution.

**Section 2.14. Prohibition against false statements and tampering.**

- a. No person shall knowingly make any false statement, representation or certification in any application, record, report, plan or other document filed or required to be maintained pursuant to this Resolution or any permit, agreement, or registration issued pursuant to this Resolution.
- b. No person shall falsify, tamper with or knowingly render inaccurate any monitoring device or method required under this Resolution or pursuant to any permit, agreement, or registration issued pursuant to this Resolution.

**Section 2.15. Confidential information.**

- a. Information and data on a user obtained from reports, surveys, wastewater discharge permit applications, and monitoring programs, and from CWA Authority's inspection and sampling activities, shall be available to the public without restriction unless the user specifically requests confidentiality, and is able to demonstrate to the satisfaction of CWA Authority that the information is confidential as described in paragraph (c) of this section.
- b. Wastewater constituents and characteristics and other effluent data, as defined at 40 CFR §2.302, shall not be recognized as confidential information and shall be made available to the public without restriction.
- c. CWA Authority shall protect any information (other than effluent data) contained in the application forms or other records, reports or plans as confidential upon showing by any person that such information, if made public, would divulge methods or processes entitled to protection as trade secrets under applicable State law.
- d. Information accepted by CWA Authority with a claim for confidentiality shall be safeguarded by CWA Authority and shall not be transmitted to the public until and unless a fifteen (15) day notification is given to the user. During the fifteen (15) day period, the user shall submit a justification of confidentiality to CWA Authority. A determination of confidentiality shall be made by the director pursuant to regulations used by the EPA for acquisition of and public access to agency information, 40 CFR §403.14.
- e. When requested and demonstrated by the user furnishing a report that such information shall be held confidential, the portions of a report which might disclose trade secrets or secret processes shall not be made available for inspection by the public, but shall be made available immediately upon request to governmental agencies for uses related to the NPDES program or pretreatment program, and in enforcement proceedings involving the permit furnishing the report.

**Section 2.16. Signatory requirements and certifications.**

- a. Reports and sworn statements required by this Resolution shall be made by an authorized representative as defined in Section 1.6 of this Resolution. The reports and sworn statements which relate to the actual operation of or discharge from a pretreatment facility shall be prepared by or under the direction of a wastewater treatment plant operator certified under the provisions of 327 IAC 5-22, if the industrial user is required to have such a certified wastewater treatment plant operator.

- b. Reports required under this Resolution or by a permit, agreement or registration issued by the director shall be postmarked no later than the due date. If the due date falls on a weekend or federal holiday, the report shall be postmarked no later than the next business day.
- c. If an authorization allowed under this Section is no longer accurate due to changes in the person or position designated, a new authorization satisfying the requirements of this Section shall be submitted to CWA Authority prior to or together with any applicable report.
- d. All wastewater surveys, permit applications, noncompliance notifications, user reports, and statements required to be submitted by the CWA Authority shall be signed by an Authorized Representative or designee of the user and contain the following certification statement:

*I certify under penalty of law that this document and all attachments were prepared under my direction or supervision in accordance with a system designed to assure that qualified personnel properly gather and evaluate the information submitted. Based on my inquiry of the person or persons who manage the system, or those persons directly responsible for gathering the information, the information submitted is, to the best of my knowledge and belief, true, accurate, and complete. I am aware that there are significant penalties for submitting false information, including the possibility of fine and imprisonment for knowing violations.*

### **CHAPTER 3. Permits, Agreements, Registrations, and Notifications.**

#### **Section 3.1. Wastewater surveys may be required.**

- a. To assesses whether a permit, agreement, or registration may be required by this Resolution, or in response to an accidental release or slug discharge to the sewer system, the director is authorized to send a wastewater survey to any user of CWA Authority's sewer system.
- b. A response to the wastewater survey by the user is mandatory. Failure to respond may result in termination of wastewater service or other actions.
- c. CWA Authority may determine that no permit is required based on the response to the wastewater survey.

#### **Section 3.2. Industrial discharge permit may be required.**

- a. The obligation to obtain an Industrial Discharge Permit from the CWA Authority applies to:
  - 1. All industrial users subject to federal categorical standards promulgated at 40 CFR Part 405 et seq;
  - 2. Industrial users which otherwise meet the definition of a significant industrial user based on the characteristics of the wastewater generated; and,
  - 3. Industrial users not otherwise subject to federal standards or the definition of significant industrial user but deemed significant by CWA Authority.
- b. Such permits shall be obtained from CWA Authority before connecting to or discharging non-domestic wastewater into the sewer system unless otherwise directed.
- c. Application requirements and other terms for the industrial discharge permit are found in Chapter 4 of this Resolution.

#### **Section 3.3. Special discharge agreements may be required.**

- a. Special Discharge Agreements between CWA Authority and any person may be established when the director determines that unusual or extraordinary circumstances compel special terms and conditions for discharge to the POTW.
- b. The director shall consider the total cost of application of technology in relation to the pollutant reduction benefits to be achieved from such application; the quality of pollutants that will be included in the discharge, the impact of those pollutants on the POTW and the receiving stream, and such other factors as the director determines to be appropriate.
- c. A violation of a term of a special discharge agreement shall be considered a violation of this Resolution.
- d. There cannot be special discharge agreements where federal categorical pretreatment standards apply.
- e. Application requirements and other terms for the special discharge agreement are found in Chapter 5 of this Resolution.

**Section 3.4. Wastewater hauler registration.**

- a. Any disposal of wastewater into the sewer system or at the POTW treatment works shall be performed by a registered Wastewater Hauler as provided for by this Resolution.
- b. Application requirements and other terms that apply to the wastewater hauler are found in Chapter 6 of this Resolution.

**Section 3.5 Special notification provisions for dental offices.**

- a. Pursuant to 40 CFR Part 441 (Dental office point source category), dental offices that place or remove amalgam shall operate and maintain an amalgam separator and shall not discharge scrap amalgam or use certain kinds of line cleaners.
- b. As required by 40 CFR Part 441, existing and new dental offices subject to this standard shall submit a one-time compliance report that serves as notification to CWA Authority.

**Section 3.6. Term of permit, agreement or registration.**

- a. An Industrial Discharge Permit shall be issued for a term of no more than five (5) years.
  1. Any person wishing to continue to discharge to the sewer system beyond the term of the Industrial Discharge Permit shall apply for renewal of the Industrial Discharge Permit at least sixty (60) days prior to the expiration of such permit using forms prescribed by CWA Authority, which forms may require the information set forth in Section 4.1 of this Resolution.
  2. In the event the permittee does not receive permit renewal prior to the expiration date due to circumstances beyond the control of the permittee, the standards and requirements set forth in the expired permit shall remain in full force and effect until such renewal is received by the permittee.
- b. A Special Discharge Agreement shall be issued for a term of no more than one (1) year. Any person wishing to continue to discharge to the sewer system beyond the term of the special discharge agreement shall apply for renewal of the special discharge agreement at least thirty (30)

days prior to the expiration of such permit using forms prescribed by CWA Authority, which forms may require the information set forth in Section 5.1 of this Resolution

- c. Wastewater hauler provisions.
  - 1. Permits will be issued to the wastewater hauler for a term of no more than five (5) years. Any wastewater hauler wishing to continue providing wastewater hauler services shall apply for renewal of the hauler permit as required by the CWA Authority prior to the expiration of such permit using forms prescribed by CWA Authority.
  - 2. A Registration for wastewater hauler vehicle(s) will be issued for a one (1) year term and shall be renewed on an annual basis. Any person holding a wastewater hauler permit is obligated to provide notice to CWA Authority and receive confirmation of vehicle registration before a vehicle will be permitted to discharge at the POTW treatment works.

**Section 3.7. Nonassignability.**

- a. The permits, agreements, or registrations issued pursuant to this Resolution are issued to a specific person for a specific facility and do not constitute a property interest nor shall the permit, agreements, or registration be assigned, conveyed or sold to a new owner, new user, different premises or a new or changed operation, except as provided in this Section.
- b. Industrial discharge permits may be reassigned or transferred to a new owner and/or operator if the permittee gives advance written notice at least ten (10) business days prior to the transfer to CWA Authority, and CWA Authority approves the Industrial Discharge Permit transfer in writing.
  - 1. Upon submittal of advance notice, and provided that the permitted process(es) remains the same under the new owner and/or operator, the Industrial Discharge Permit remains in effect until such time as CWA Authority issues the new permit.
  - 2. The notice to CWA Authority shall include a written certification by the new owner and/or operator which:
    - a. states that the new owner and/or operator has no immediate intent to change the facility's operations and process;
    - b. identifies the specific date on which the transfer is to occur; and
    - c. acknowledges full responsibility for complying with the existing Industrial Discharge Permit and all applicable laws and regulations.
    - d. Failure to provide advance notice of a transfer renders the Industrial Discharge Permit void on the date of facility transfer.
  - 3. The current permit holder is obligated to provide a copy of the existing Industrial Discharge Permit to a new owner as described at 40 CFR §403.8(f)(1)(iii)(B)(2).
- c. Special discharge agreements may not be reassigned or transferred to a new owner.
- d. Wastewater hauler registrations may not be reassigned or transferred to a new owner.

**Section 3.8. Revocation.**

CWA Authority may suspend or revoke permission of any user to discharge non-domestic wastewater to the CWA Authority's sewer system or POTW treatment plant for any of the following:

- a. Noncompliance with any condition of an Industrial Discharge Permit, Special Discharge Agreement, or Wastewater Hauler Registration issued pursuant to this Resolution;

- b. Violation of any provision outlined in this Resolution, or of any applicable state and/or federal regulations or statute;
- c. Failure to timely file any discharge reports;
- d. Failure to factually report wastewater characteristics;
- e. Furnishing of false information or misrepresentation of any material fact related to the wastewater generated or discharged;
- f. Refusal of reasonable access to the user's premises for the purpose of review of records, inspection or monitoring; or

**Section 3.9. Notice of revocation.**

- a. CWA Authority shall send written notice of facts as the basis for the proposed revocation, suspension or modification to the affected user.
- b. Except in cases of willfulness or those in which public health interest or the safety of the POTW, including the sewer system and the POTW treatment works require otherwise, the revocation, withdrawal or suspension of a permit, agreement, or registration is authorized only if, before the institution of proceedings thereof, the user has been given:
  - 1. notice by the director, in writing, of the facts or conduct which may warrant the action; and,
  - 2. opportunity to demonstrate or achieve compliance with all lawful requirements.

**Section 3.10. Appeals.**

- a. A user may file with the director a written request for reconsideration within fifteen (15) days of the effective date of any final action, decision or determination taken as part of CWA Authority's administrative and enforcement program.
- b. The request shall set forth in detail the facts surrounding the request.
- c. The director shall respond within ten (10) days of receipt of the request and shall make a final determination within thirty (30) days of receipt of the request.
- d. The user may appeal any final decision of the director to the CWA Authority Board of Directors within fifteen (15) days of the final decision.
- e. Further appeals may be taken to the Marion County Circuit or Superior Courts within fifteen (15) days of any final decision of the CWA Authority Board.

**Section 3.11. Fees.**

- a. The Board may establish fees for authorizations required pursuant to this Resolution.
- b. The Board may revise the amount of such fee from time to time, but not more than once each calendar year.

- c. These fees are in addition to any rates and charges for the discharge of wastewater to the sewer system authorized by the Terms and Conditions approved by the Indiana Utility Regulatory Commission.
- d. Fees shall be due and payable by the user upon submittal of the application. Under all situations, fees shall be paid prior to issuance of the permit, agreement, or registration.
- e. The following fees are authorized by this Resolution and shall be assessed by CWA Authority for the industrial pretreatment program activities described.
  - 1. The fees in Table 3.11 shall apply to applications postmarked or otherwise received on or after January 1, 2027.
  - 2. For applications postmarked or otherwise delivered on or before December 31, 2026, the application fee is \$150.

**Table 3.11 - Fees**

<b>Type of Approval</b>	<b>Fee</b>	<b>Frequency of Fee</b>
Industrial Discharge Permit – Initial	\$5,000	One-time
Industrial Discharge Permit - Modification	\$2,000	One-time
Industrial Discharge Permit – Administrative Change (including ownership transfer or name change)	\$250	One-time
Industrial Discharge Permit – Renewal	\$1,500	Every 5 years
Special Discharge Agreement application	\$1,000	One-time
Special Discharge Agreement – Volume Based Rates and Surcharge Rates as applicable	<i>See Sewer Rate No. 5 in the Terms &amp; Conditions</i>	As incurred
Hauled Waste – Volume Based Rates and Surcharge Rates as applicable	<i>See Sewer Rate No. 4 in the Terms &amp; Conditions</i>	
Sampling and Analysis of the User’s discharge by CWA Authority as required by 40 CFR Part 403	<i>Lab Analytical Costs with No markup</i>	As incurred

**Chapter 4. Industrial Discharge Permits.**

**Section 4.1. Application requirements.**

- a. All industrial users required to obtain an Industrial Discharge Permit from CWA Authority shall submit a permit application. CWA Authority shall have the authority to prescribe an Industrial Discharge Permit application form. The application form may require the following information:
  - 1. Name, address of the facility and standard industrial classification (SIC) or North American Industrial Classification System (NAICS) number.
  - 2. Name and business address of the owner and name and business address of the operator (if these differ from the facility address).
  - 3. Date the facility was constructed and placed into service.
  - 4. Contact information, description of activities, facilities, and plant production processes on the premises.
  - 5. Number of employees, hours of operation, and proposed or actual hours of operation.

6. Environmental permits held by or issued for the facility operations, including permits issued under the authority of federal, state, or local agencies to implement requirements of the Clean Water Act, the Clean Air Act, and the Resource Conservation and Recovery Act.
  7. Description of operations, including a brief description of the nature of the products or goods produced, average rate of production (including each product produced by type, amount, processes, and rate of production). This description shall include a schematic process diagram which indicates points of discharge (which may be internal to the on-site wastewater system) from the regulated processes that are ultimately discharged to the POTW.
  8. A description of the wastewater pretreatment process, including process equipment, design capacity, and operating conditions.
  9. Volume and flow of wastewater to be discharged. The user shall submit information showing the measured average daily and maximum daily flow, in gallons per day, to the POTW from each of the following:
    - a. Regulated process streams; and
    - b. Other streams as necessary to allow use of the combined wastestream formula of §403.6(e).
    - c. CWA Authority may allow for verifiable estimates of these flows where justified by cost or feasibility considerations.
  10. Time and duration of discharges. For batch or non-continuous discharges, the anticipated frequency and volumes of such discharges shall also be provided.
  11. The wastewater characteristics including, but not limited to, BOD, total suspended solids, ammonia and pH.
  12. Types of waste generated, and a list of materials processed that are or could accidentally or intentionally be discharged to the POTW, and a description of daily, weekly and seasonal variations in wastewater discharges, including instantaneous, daily maximum, and long-term average concentrations, or mass, of pollutants.
  13. Location of building drain or building sewers, and the location for all points of discharge and monitoring of all wastewater covered by the permit application.
  14. Pretreatment standards applicable to the discharge and any new categorically regulated processes for existing sources.
  15. The application shall include a certification that hazardous waste is not present in the wastewater proposed to be discharged or certification that if hazardous waste is present in the wastewater proposed to be discharged that proper notification has been provided to EPA pursuant to Section 2.5 Discharge of hazardous wastes.
- b. Any other information as may be deemed by CWA Authority to be necessary to evaluate the Industrial Discharge Permit application shall be submitted upon the request of CWA Authority.
  - c. The Industrial Discharge Permit application shall be certified by an Authorized Representative of the industrial user as defined at Section 1.6 of this Resolution.

**Section 4.2. Industrial discharge permit conditions.**

- a. CWA Authority shall prescribe conditions to the Industrial Discharge Permit that shall, as applicable, include the following:
  1. Best management practices.
  2. Requirements for immediate notification of slug discharges as described in Section 2.7.
  3. Submittal and implementation of a slug control plan as described in section 2.8.
  4. Mandatory statement of duration as provided in Section 3.6(a).
  5. Mandatory statement of nonassignability as provided in Section 3.7.

6. Mandatory effluent limits based on applicable general pretreatment standards in 40 CFR 403, categorical pretreatment standards, local limits and state and local law.
  7. Mandatory self-monitoring, sampling, reporting, notification and recordkeeping requirements, as provided in this Resolution, including an identification of the pollutants to be monitored, sampling location, sampling frequency and sample type, based on applicable general pretreatment standards in 40 CFR 403, categorical pretreatment standards, local limits and state and local law.
  8. Mandatory statement of applicable civil and criminal penalties for violation of pretreatment standards and requirements and any applicable compliance schedule.
- b. CWA Authority may prescribe conditions to the Industrial Discharge Permit that shall, as applicable, include the following:
1. Applicable federal, state and/or local laws, Resolutions, regulations or orders, including national categorical pretreatment standards for new and existing sources promulgated in 40 CFR parts 401 through 471.
  2. Limits or prohibitions on the wastewater characteristics in addition to those in Section 2.1 and Section 2.2 of this Resolution.
  3. The director shall apply applicable federal categorical pretreatment standards, or, in the absence of such standards, limits may be based on the best practical technology.
  4. Limits on the average and maximum wastewater constituents and characteristics.
  5. Limits on average and maximum rate and time of discharge or requirements for flow regulations and equalization.
  6. Requirements for installation and maintenance of inspection and sampling facilities.
  7. Specifications for monitoring programs, which may include sampling locations, frequency of sampling, number, types and standards for tests, and reporting schedule.
  8. Compliance schedules which may not extend the compliance date beyond applicable federal deadlines.
  9. Requirements for submission of technical reports or discharge reports.
  10. Requirements for maintaining and retaining plant records relating to wastewater discharge as specified by this Resolution or by a Categorical pretreatment standard and affording CWA Authority access thereto.
  11. Requirements for prior notification of CWA Authority of any new introduction of wastewater constituents or any substantial change in the volume or character of the wastewater constituents being introduced into the POTW, including any hazardous wastes for which the industrial user has submitted notification pursuant to Section 2.5.
- c. Whenever deemed necessary, requirements for discharge restrictions during peak flow periods, including designations that certain wastewater may be discharged only to specific sewers, relocation and/or consolidation of points of discharge, separation of sewage waste streams from industrial wastestreams, and other such conditions, may be necessary to protect the POTW.
- d. Whenever deemed necessary, requirements for installation and maintenance of suitable storage and flow-control to ensure equalization of flow at the user's facility at their own expense.
- e. Other conditions as deemed appropriate by the director to ensure compliance with this Resolution.

#### **Section 4.3. Pretreatment.**

- a. Industrial users shall provide wastewater treatment as necessary to comply with this Resolution and/or any permit issued pursuant to this Resolution and shall achieve compliance

with all federal categorical pretreatment standards within the time limitations as specified by the federal pretreatment regulations.

- b. Any facilities required to pretreat wastewater to a level acceptable to CWA Authority shall be provided, operated and maintained at the user's expense.
- c. Detailed plans showing the pretreatment facilities and operating procedures shall be submitted upon request to CWA Authority for review and shall be acceptable to CWA Authority.
- d. The review of such plans and operating procedures will in no way relieve the user from the responsibility of modifying the facility as necessary to produce an effluent acceptable to CWA Authority under the provisions of this Resolution.
- e. Any subsequent significant modifications in the pretreatment facilities or method of operation affecting its discharge shall be reported to and be acceptable to CWA Authority prior to the user's initiation of the modification.

**Section 4.4. Permit modifications by CWA Authority.**

- a. For all industrial users, modification of an Industrial Discharge Permit may be accomplished at any time during the term of the permit if CWA Authority determines a modification is necessary to accurately characterize changes in industrial contribution, wastewater constituents or characteristics, Resolution requirements or any other applicable condition.
- b. An industrial user shall be given a thirty (30) day written notice of the impending modification by CWA Authority. Compliance deadlines with the modified requirements shall be determined on a case-specific basis.
- c. An industrial user with an existing Industrial Discharge Permit shall submit to CWA Authority, within one hundred eighty (180) days after the promulgation of an applicable categorical pretreatment standard or one hundred eighty (180) days after the final administrative decision made upon a category determination under 40 CFR Part 403.6(a)(4), whichever is later, existing industrial users subject to such categorical pretreatment standards and currently discharging to or scheduled to discharge to a POTW shall be required to submit to CWA Authority a report that contains the information required by Section 4.10 of this Resolution.
- d. Within nine (9) months of the promulgation of a categorical pretreatment standard, the Industrial Discharge Permit of users subject to such standard shall be revised to require compliance with such standard within the time frame prescribed by such standard.
- e. A change in operations or wastewater discharge that trigger the applicability of a federal categorical standard promulgated at 40 CFR Parts 405 – 471 *et. seq.* requires submittal of an application for modification of the permit prior to commencement of operations in addition to the reports required in Section 4.10, Section 4.11 and Section 4.12.

**Section 4.5. Obligation to notify.**

- a. No user holding or required to hold an Industrial Discharge Permit shall introduce new constituents or change substantially the character or volume of pollutants discharged to the POTW without prior written notification to and approval by the director.
- b. Such prior notification shall include an updated hazardous waste statement on a form available from CWA Authority regardless of whether an industrial user has submitted such notification to the director pursuant to Section 2.5.

**Section 4.6. Submission of self-monitoring reports.**

- a. Any industrial user required to complete self-monitoring reports as a condition of an Industrial Discharge Permit shall submit the required reports to CWA Authority.
- b. The reports shall be delivered, postmarked or otherwise delivered to a common carrier no later than the due date specified in the permit.
- c. The reports shall be signed by an authorized representative of the industrial user as defined Section 1.6 of this Resolution.

**Section 4.7. Violation of permit requirements.**

- a. In the case of noncompliance with Industrial Discharge Permit limitations, standards or requirements, the industrial user shall contact the director within twenty-four (24) hours of knowledge of the noncompliance. The person representing the industrial user shall provide the following information:
  1. Name of the company;
  2. Industrial discharge permit number;
  3. Facility location;
  4. Limitation, standard or requirement in violation;
  5. Description of magnitude of violation; and,
  6. Corrective actions taken to eliminate, prevent and/or minimize the violation.
- b. The industrial user shall provide a detailed written report describing the violation to CWA Authority. The report shall be submitted within five (5) business days subsequent to knowledge of the noncompliance incident.
  1. The director may grant an extension in writing to the written report deadline in consideration of special circumstances.
  2. The report shall contain the following information:
    - a. Description of the discharge and cause of the violation;
    - b. Parameters in violation; and
    - c. The period of noncompliance, including exact dates and times or, if not corrected, the anticipated time the noncompliance is expected to continue and the steps being taken to reduce, eliminate and prevent recurrence of the noncomplying discharge or violation.
- c. Within thirty (30) days of knowledge of a violation identified through self-monitoring activities, the industrial user shall sample and analyze for the parameter(s) found in violation to demonstrate that compliance has been achieved.
  1. The results of this resampling shall be submitted to CWA Authority on the appropriate self-monitoring report.

2. Where the director has performed the sampling and analysis in lieu of the industrial user, the director shall perform the repeat sampling and analysis unless he/she notifies the user of the violation and requires the user to perform the repeat analysis.
- d. A violation of a monthly average limitation that is derived from federal categorical pretreatment standards shall constitute a separate violation for each day the facility operates during a given month unless actual daily analyses are demonstrated to be less than the applicable monthly average limitation.

**Section 4.8. Bypass.**

- a. An industrial user may allow any bypass to occur which does not cause pretreatment standards or permit limitations or requirements to be violated, but only if it also is for essential maintenance to assure efficient operation. Such bypasses are not subject to subsections (b) and (d) of this Section.
- b. If an industrial user knows in advance of the need for a bypass, it shall submit prior notice to the director, if possible, at least ten (10) business days before the date of bypass.
- c. The director may approve an anticipated bypass, after considering its potential adverse effects, if the director determines that it will meet the conditions listed in subsection (f) of this Section.
- d. An industrial user shall submit oral notice of an unanticipated bypass that exceeds applicable pretreatment standards to the director within twenty-four (24) hours from the time the industrial user becomes aware of the bypass. A written submission shall also be provided within five (5) business days of the time the industrial user becomes aware of the bypass. The written submission shall contain the following:
  1. A description of the bypass and its cause;
  2. The duration of the bypass, including exact dates and times;
  3. If the bypass has not been corrected, the anticipated time it is expected to continue; and
  4. Steps taken or planned to reduce, eliminate and prevent reoccurrence of the bypass.
- e. The director may waive the written report on a case-by-case basis if the oral report has been received within twenty-four (24) hours.
- f. Bypass is prohibited, and the director may take enforcement action against an industrial user for a bypass, unless:
  1. Bypass was unavoidable to prevent loss of life, personal injury, or severe property damage;
  2. There were no feasible alternatives to the bypass, such as the use of auxiliary treatment facilities, retention of untreated wastes, or maintenance during normal periods of equipment downtime. This condition is not satisfied if adequate back-up equipment should have been installed in the exercise of reasonable engineering judgment to prevent a bypass which occurred during normal periods of equipment downtime or preventative maintenance; and
  3. The industrial user submitted notices as required under subsection (d) this Section.

**Section 4.9. Removal Credits.**

When the CWA Authority demonstrates consistent removal of pollutants limited by federal categorical pretreatment standards, as required by 40 CFR §403.7, CWA Authority may apply to the administrator of EPA, or the state if it has an approved pretreatment program, for authorization to give a

removal credit to industrial users to reflect removal of toxic or other regulated pollutants by the wastewater treatment system.

**Section 4.10. Baseline report.**

- a. Within one hundred eighty (180) days after the effective date of a federal categorical pretreatment standard, or one hundred eighty (180) days after the final administrative decision made on a category under 40 CFR §403.6(a)(4), whichever is later, existing industrial users subject to such categorical pretreatment standards and currently discharging to or scheduled to discharge to the POTW will be required to submit to the department a report containing the information as required by 40 CFR §403.12(b)(1) through (b)(6).
- b. At least ninety (90) days prior to the commencement of discharge, new sources and sources that become industrial users subsequent to the promulgation of an applicable categorical pretreatment standard will be required to submit to the department a report which contains the information listed in subsections (1) through (5) of this Section. New sources also will be required to include in this report information on the method of pretreatment the source intends to use to meet the applicable pretreatment standards. New sources shall give estimates of the information requested in this subsection (b)(1) through (b)(6).
  - 1. Identifying information. The user shall submit the name and address of the facility, including the name of the operator and owners.
  - 2. Permits. A list of any environmental control permits held by or for the facility.
  - 3. Description of operations. The user shall submit a brief description of the nature, average rate of production, and standard industrial classification of the operation(s) carried out by such industrial user. This description shall include a schematic process diagram which indicates points of discharge to the wastewater works from the regulated processes.
  - 4. Flow measurement. Information showing the measured average daily and maximum daily flow, in gallons per day, to the POTW from each of the following:
    - a. Regulated process streams; and
    - b. Other streams as necessary to allow use of the combined waste stream formula of 40 CFR §403.6(e).
    - c. The director may allow for verifiable estimates of these flows where justified by cost or feasibility considerations.
  - 5. Measurement of pollutants. The pretreatment standards applicable to each regulated process and concentration and nature (or mass) as measured according to 40 CFR §403.12(b)(5).
  - 6. Report of compliance. The report shall state whether the applicable pretreatment standards or regulations are being met on a consistent basis. If not, then the report shall state what operation and maintenance or pretreatment is necessary to bring the user into compliance and the shortest schedule by which the user will provide such additional operation and maintenance or pretreatment, provided that the completion date shall not be later than the compliance date established for the applicable categorical pretreatment standard.
  - 7. This statement shall be signed by an authorized representative of the industrial user and certified by a qualified professional.
  - 8. If the industrial user's categorical pretreatment standard has been modified by a removal allowance pursuant to 40 CFR §403.7, the combined wastestream formula pursuant to 40 CFR §403.6(e) or a fundamentally different factors variance pursuant to 40 CFR §403.13, the industrial user shall report to the department within the time frames specified in 40 CFR §403.12(b).

**Section 4.11. Compliance schedules.**

- a. If additional pretreatment and/or operation and maintenance are required to meet the pretreatment standards, the user shall provide it by the shortest possible compliance schedules. The completion date in the schedule shall not be later than the compliance date established for any applicable federal pretreatment standard.
- b. The schedule shall contain increments of progress in the form of dates for the commencement and completion of major events leading to the construction and operation of additional pretreatment required for the user to meet the applicable pretreatment standards (e.g., hiring an engineer, completing preliminary plans, completing final plans, executing contract for major components, commencing construction, completing construction, etc.).
- c. No increment referred to in paragraph (a)(1). shall exceed nine (9) months.
- d. No later than fourteen (14) days following each date in the schedule and the final date for compliance, the user shall submit a progress report to the director including, as a minimum, whether or not it complied with the increment of progress to be met on such date and, if not, the date on which it expects to comply with this increment of progress, the reason for delay and the steps being taken by the user to return the construction to the schedule established. In no event shall more than nine (9) months elapse between such progress reports to the director.

**Section 4.12. Compliance date report.**

- a. Within ninety (90) days following the date for final compliance with applicable pretreatment standards or, in the case of a new source, following commencement of the introduction of wastewater into the system, any user subject to pretreatment standards or regulations shall submit to CWA Authority a report indicating the nature and concentration of all pollutants in the discharge from the regulated process which are limited by pretreatment standards or regulations and the average and maximum daily flow for these process units in the user facility which are limited by such pretreatment standards or regulations.
- b. The report shall state whether the applicable pretreatment standards or regulations are being met on a consistent basis and, if not, what additional operation and maintenance and/or pretreatment are necessary to bring the user into compliance with the applicable pretreatment standards or regulations.
- c. This report shall contain a reasonable measure of the user's long-term production rate.
- d. This report shall be signed by an authorized representative of the industrial user and certified by a qualified professional.

**Section 4.13. Periodic compliance reports.**

- a. Any user subject to a pretreatment standard set forth at 40 CFR Parts 403 – 471 shall, after the compliance date of such pretreatment standard or, in the case of a new source, after commencement of the discharge into the POTW, submit to CWA Authority, during the months of June and December (or alternative months specified by the director), unless required more frequently in the pretreatment standard or by the Board, a report indicating the nature and concentration of pollutants in the effluent which are limited by such pretreatment standards.
  1. This report shall include a record of all daily flows which, during the reporting period, exceeded the average daily flow reported in Section 4.10 of this Resolution.

2. In cases where the pretreatment standard requires compliance with a best management practice or pollution prevention alternative, the industrial user will submit documentation required by the director or the pretreatment standard necessary to determine the compliance status of the user.
  3. At the discretion of the director and in consideration of such factors as local high or low flow rates, holidays, budget cycles, etc., the director may agree to alter the months during which the above reports are to be submitted.
- b. Reports submitted by permittees shall contain the results of sampling and analyses of the discharge, including the flow and the nature and concentration, or production and mass where requested by the CWA Authority, of pollutants contained therein that are limited by the applicable pretreatment standards.
  - c. The frequency of monitoring shall be prescribed in the applicable pretreatment standard or in the permit issued in accordance with this Chapter.

**Section 4.14. Monitoring and reporting requirements.**

- a. The reports required by Sections 4.10 (Baseline reports), 4.11 (Compliance schedules), 4.12 (Compliance date reports) and 4.13 (Periodic compliance reports) shall be based on data obtained through sampling and analysis performed during the period covered by the report, which data are representative of the regulated wastewater discharged during the reporting period.
- b. The director shall require a frequency of monitoring that is necessary to assess and assure compliance by industrial users with applicable pretreatment standards and requirements.
- c. Grab samples shall be used for pH, cyanide, total phenols, oil and grease, sulfide and volatile organic compounds.
- d. For all other pollutants, twenty-four (24) hour composite samples shall be obtained through flow proportional composite sampling techniques, unless time proportional composite sampling or grab sampling is authorized by the director. Where time-proportional composite sampling or grab sampling is authorized by the director, the samples shall be representative of the discharge and the decision to allow the alternative sampling shall be documented in the industrial user file for that facility or facilities.
- e. Using protocols, including appropriate preservation, as specified in 40 CFR Part 136 and appropriate EPA guidance, multiple grab samples collected during a twenty-four (24) hour period may be composited prior to analysis as follows:
  1. For cyanide, total phenols and sulfides, the samples may be composited in the laboratory or the field;
  2. For volatile organics and oil and grease, with the approval of the director, the samples may be composited in the laboratory;
  3. Composite samples for other parameters unaffected by the compositing procedures as documented in approved EPA methodologies may be authorized by the director, as appropriate.
- f. For sampling required by Section 4.10 (Baseline reports) and Section 4.12 (Compliance date reports), a minimum of four (4) grab samples shall be used for:
  1. pH;
  2. Cyanide;
  3. Total phenols;

4. Oil and grease;
  5. Sulfide; and
  6. Volatile organic compounds; for facilities for which historical sampling data do not exist. For facilities for which historical sampling data are available, the director may authorize a lower minimum.
- g. For periodic reports required by Section 4.13 (Periodic compliance reports), the director shall require the number of grab samples necessary to assess and assure compliance by industrial users with applicable pretreatment standards and requirements.
- h. If an industrial user subject to Section 4.13 (Periodic compliance reports) monitors any regulated pollutant at the appropriate sampling location more frequently than required by the director using the procedures described in Section 2.12, the results of this monitoring shall be included in the report.

## **Chapter 5. Special Discharge Agreements.**

### **Section 5.1. Application requirements.**

- a. Any user requesting permission for the temporary discharge of wastewater into CWA Authority's System shall obtain a Special Discharge Agreement from CWA Authority.
- b. Users shall submit a Special Discharge Agreement application to CWA Authority on the prescribed application form.
- c. The application form may require the following information:
  1. Name, address, and contact information of the applicant.
  2. Project location including project address and nearest major intersection if request is to discharge to an Authority asset.
  3. How and where the wastewater is proposed to be discharged, and the volume (total and gallons per minute) being requested to discharge. If wastewater is proposed to be hauled to CWA Authority's POTW Treatment Plant for disposal, the name of the permitted wastewater hauler to be provided.
  4. Description of the business activity generating the wastewater to be discharged.
  5. CWA Authority may allow for verifiable estimates of these flows where justified by cost or feasibility considerations.
  6. Materials at the location that could reach the CWA Authority's sewer system or be discharged in the wastewater.
  7. Description of wastewater that will occur prior to discharge.
  8. Laboratory analytical data collected pursuant to Section 2.3, including, but not limited to, BOD, total suspended solids, ammonia and pH. .
  9. The wastewater characteristics shall comply with the requirements contained in Section 2.1 and Section 2.2 .
- d. The application shall be signed by an authorized representative as required by Section 2.15.
- e. The application shall include a certification that hazardous waste is not present in the wastewater proposed to be discharged or certification that if hazardous waste is present in the wastewater proposed to be discharged that proper notification has been provided to EPA pursuant to Section 2.5.

**Section 5.2. Special discharge agreement conditions.**

- a. CWA Authority may prescribe conditions to the Special Discharge Agreement and may include the following:
  - 1. Limits or prohibitions on the wastewater characteristics in addition to those in Section 2.1 or Section 2.2 of this Resolution.
  - 2. Limits on average and maximum rate and time of discharge or requirements for flow regulations and equalization.
  - 3. Best management practices.
  - 4. Requirements for immediate notification of slug discharges and the submittal and implementation of a slug control plan as described in Section 2.8.
  - 5. Statement of duration as provided in Section 3.6.
  - 6. Statement of nonassignability as provided in Section 3.7.
  - 7. Requirements for installation and maintenance of inspection and sampling facilities.
  - 8. Specifications for monitoring programs, which may include sampling locations, frequency of sampling, number, types and standards for tests, and reporting schedule.
  - 9. Requirements for submission of technical reports or discharge reports.
  - 10. Requirements for maintaining and retaining plant records relating to wastewater discharge as specified by this Resolution or by a Categorical pretreatment standard and affording CWA Authority access thereto.
  - 11. Requirements for prior notification of CWA Authority of any new introduction of wastewater constituents or any substantial change in the volume or character of the wastewater constituents being introduced into the POTW, including any hazardous wastes for which the industrial user has submitted notification to the director pursuant to Section 2.5.
- b. Whenever deemed necessary, requirements for discharge restrictions during peak flow periods, including designations that certain wastewater may be discharged only to specific sewers, relocation and/or consolidation of points of discharge, separation of sewage waste streams from industrial wastestreams, and other such conditions, may be necessary to protect the POTW.
- c. Whenever deemed necessary, requirements for installation and maintenance of suitable storage and flow-control to ensure equalization of flow at the user's facility at their own expense.
- d. Other conditions as deemed appropriate by the director to ensure compliance with this Resolution.

**Section 5.3 Monitoring and reporting requirements.**

- a. Grab samples shall be used for pH, cyanide, total phenols, oil and grease, sulfide and volatile organic compounds.
- b. For all other pollutants, twenty-four (24) hour composite samples shall be obtained through flow proportional composite sampling techniques, unless time proportional composite sampling or grab sampling is authorized by the director. Where time-proportional composite sampling or grab sampling is authorized by the director, the samples shall be representative of the discharge and the decision to allow the alternative sampling shall be documented in the industrial user file for that facility or facilities.

- c. Using protocols, including appropriate preservation, as specified in 40 CFR Part 136 and appropriate EPA guidance, multiple grab samples collected during a twenty-four (24) hour period may be composited prior to analysis as follows:
  1. For cyanide, total phenols and sulfides, the samples may be composited in the laboratory or the field;
  2. For volatile organics and oil and grease, with the approval of the director, the samples may be composited in the laboratory;
  3. Composite samples for other parameters unaffected by the compositing procedures as documented in approved EPA methodologies may be authorized by the director, as appropriate.

## **Chapter 6. Wastewater Hauler Program.**

### **Section 6.1. Wastewater hauler criteria.**

- a. The disposal of wastewater into the POTW treatment works shall be performed by a registered Wastewater Hauler and requires a Wastewater Hauler Permit as provided for by this Resolution of CWA Authority.
- b. The Terms and Conditions may prescribe additional requirements that apply for hauled wastewater, including rates and charges for the disposal of such wastewater.

### **Section 6.2 Wastewater hauler permit required.**

- a. The owner of each wastewater hauler vehicle shall obtain a Wastewater Hauler Permit from the CWA Authority before authorization will be granted for discharge at the POTW treatment works.
- b. Each wastewater hauler shall complete and submit the Wastewater Hauler Permit application as required by CWA Authority and shall include the following information:
  1. Proof of authorized use of each vehicle, including owner's name and legal address.
  2. Proof of a valid permit from IDEM issued pursuant to IC 13-18-12 or exemption, if applicable.
  3. Proof of insurance as specified in Section 6.4 of this Resolution.
  4. The wastewater hauler's legal address and legal business address, type of business, i.e., domestic and/or industrial wastewater hauler.
  5. The number of wastewater hauling vehicles, tank capacity in gallons of each vehicle or tote, and license and vehicle identification numbers of all vehicles.
  6. Any other information as may be deemed by the director to be necessary to evaluate the wastewater hauler's permit.
  7. Signature by Authorized Representative as defined in Section 1.6(g).
- c. After the application has been received and reviewed by the director, and has been determined to satisfy the conditions above, a permit shall be issued for a period not to exceed five (5) years from date of issuance. CWA Authority may prescribe additional permit conditions, including but not limited to:
  1. Approved charges and fees;
  2. Limits on the wastewater characteristics;
  3. Restrictions on the times and days of discharge;
  4. Requirements for the completion, submittal and retention of customer receipts and other documents and reports related to wastewater hauling;
  5. Type of wastewater allowed to be hauled and disposed of at POTW;
  6. Location of approved discharge sites;

7. Any other condition as deemed appropriate by CWA Authority to assure compliance with this Resolution.

**Section 6.3. Wastewater hauler tank vehicle requirements.**

- a. Any wastewater hauler tank vehicle that the owner wishes to discharge within CWA Authority's sewer system or POTW treatment works shall be registered with the CWA Authority.
- b. All wastewater hauler tank vehicles that the owner wishes to discharge within CWA Authority's sewer system or POTW treatment works shall comply with the requirements of 327 IAC 7.1-6.
- c. Each vehicle shall be equipped with a means to allow sampling of the wastewater in the tank or tote by CWA Authority personnel.
- d. Each vehicle shall have the company name, address, telephone number, capacity in gallons, displayed in a manner similar to that required by IDEM at 327 IAC 7.1-6-6.
- e. Each vehicle discharging into CWA Authority's sewer system or at the POTW Treatment Works shall abide by the procedures for discharge, including hours of operation at the POTW Treatment Works, sampling requirements, and attendance while discharging, and shall comply with procedures and obligations contained in Section 6.5 and Section 6.6.
- f. The wastewater hauler vehicle registration shall be renewed on an annual basis.
- g. Discharge by or from unregistered vehicles is prohibited.

**Section 6.4. Insurance required.**

- a. Each wastewater hauler shall furnish CWA Authority with a Certificate of Insurance (COI) from companies satisfactory to CWA Authority, evidencing coverage of not less than the following limits of liability and listing the department as an additional insured on a primary and non-contributory basis:

**Comprehensive Automobile Liability (including coverage for liability arising out of owned, non-owned and hired autos and for bodily injury and property damage):**

Each Accident	\$1,000,000
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- b. The above insurance policy shall be endorsed to provide a thirty (30) day written notice of cancellation to CWA Authority.
- c. The insurance coverage shall cover all work performed by the wastewater hauler while transporting and discharging wastewater and shall include, but not be limited to, liability arising out of disposal of any hazardous waste, spilled material on public property, and fines or any other costs incurred by CWA Authority as a result of the wastewater hauler's activities. CWA Authority shall be named as an additional insured.
- d. A certificate of such policies shall be delivered to CWA Authority prior to commencement of hauling. The insurance carrier shall give notice to CWA Authority at least thirty (30) days before such insurance is either canceled or not renewed, and the certificate shall state this obligation.

- e. Wastewater haulers permitted at the time of the effective date of this provision shall submit proof of adequate insurance coverage with the next permit application or upon expiration of their bond, whichever is sooner. Potential wastewater haulers applying for a permit subsequent to the effective date of this provision shall secure the proper insurance coverage at the time of filing.

**Section 6.5. Sampling and analysis requirements prior to discharge.**

- a. The contents of all wastewater haulers' tank vehicles are subject to preliminary sampling and analysis by CWA Authority before discharging into the approved site at CWA Authority's wastewater treatment facility. The test results on any sample shall be within a specified range for the specific test parameters established by the CWA Authority in order not to inhibit the performance of the wastewater treatment plant into which the wastewater is discharged.
- b. The contents of any wastewater hauler's tank that do not pass the preliminary testing procedures will be subject to additional specific testing to determine the nature of the contents. If the contents of the tank are deemed by CWA Authority to be unsatisfactory for discharging into the wastewater treatment plant, the wastewater hauler shall arrange for proper disposal of the tank contents. Until the director has determined that the conditions have been satisfied, the wastewater hauler is prohibited from using all designated disposal sites approved by CWA Authority.
- c. CWA Authority shall notify IDEM of the status of any wastewater hauler whose tank contents are determined to be unsatisfactory for discharging into a designated disposal site approved by the CWA Authority.
- d. CWA Authority may refuse to accept any wastewater if, after testing, it is deemed unsatisfactory for discharge into the wastewater treatment plant.
- e. The wastewater hauler shall reimburse CWA Authority for all costs associated with the treatment, sampling, analysis, and disposal of any prohibited wastes.

**Section 6.6. Discharge procedures.**

- a. All discharges of wastewater from the wastewater hauler's tank or tote shall be done at designated sites approved by CWA Authority. CWA Authority shall have the right to limit the hours of the day and the days of the week during which discharging shall be allowed and has the authority to revoke gate privileges for wastewater haulers that do not comply with the requirements of this Resolution.
- b. Any unpermitted discharging of wastewater into the POTW at any location under the jurisdiction of CWA Authority is prohibited unless approved by CWA Authority prior to discharging.
- c. CWA Authority may require any wastewater hauler to correct any defective equipment including hoses, valves, tanks, piping and permanent or flexible connections which may result in the leakage or spilling of wastewater from the tank vehicle. Defective equipment shall be repaired before the wastewater hauler is allowed to discharge at the site designated by CWA Authority.
- d. Any disposal of wastewater into the POTW shall be performed by a wastewater hauler having the permit described in Section 6.2. Disposal of domestic wastewater or restaurant grease trap waste requires no further approval. A wastewater hauler disposing of industrial or commercial wastewater shall obtain special discharge agreement from the director.

**Section 6.7. Administrative procedures.**

- a. All wastewater haulers shall maintain accurate business records pertaining to wastewater hauling, available upon request to the CWA Authority, EPA, and IDEM, including names, addresses, and telephone numbers of the generators of all wastewater being transported and/or disposed of, county of origin, type of waste, volume of waste, and disposal site, customer receipts required under subsection (c) of this Section, and approvals, permits and certifications issued by federal, state and local authorities.
- b. All records required to be retained under this Chapter 6 shall be retained for a minimum of three (3) years.
- c. The driver of each vehicle delivered to the wastewater treatment plant for discharging shall have dated customer receipts for each source of wastewater showing the names and addresses of the customers, the nature of the wastewater, amount of wastewater in gallons, wastewater hauler's name and legal business address and telephone number, and vehicle driver's name and shall be made available to CWA Authority upon request.
- d. Whenever required to carry out the objectives of this Resolution relating to the control of the discharging of wastewater or the collection of dump fees, CWA Authority shall have a right of entry to, upon or through any premises for purposes of inspection, measuring and sampling.
  1. This right of entry shall include, but not be limited to, any equipment necessary to conduct such inspections, measuring and sampling.
  2. It shall be the duty of the wastewater hauler to provide all necessary clearance before entry and not to unnecessarily delay or hinder the director in carrying out the inspection, measuring and sampling.
  3. The right of entry shall exist at any time.

**Chapter 7. Regulation of Fats, Oils, and Grease to the Sewer System**

**Section 7.1 Grease interceptors.**

- a. A grease interceptor shall be installed in the waste line leading from sinks, drains and other fixtures or equipment in restaurants, cafes, lunch counters, cafeterias, bars and clubs; hotel, hospital, sanitarium, factory or school kitchens; or other establishments where grease may be introduced into the drainage or sewage system in quantities that can affect line stoppage or hinder sewage treatment.
- b. A grease interceptor shall be designed and installed in accordance with the Citizens Energy Group Sanitary Standards Manual.
- c. The characteristics, size and method of installation of the grease interceptor shall meet the requirements imposed by the Indiana Department of Homeland Security and shall be reviewed and approved by the director prior to the commencement of installation.
- d. Approval of proposed facilities or equipment does not relieve the person of the responsibility of enlarging or otherwise modifying such facilities to accomplish the intended purpose.
- e. On a showing of good cause, the director may waive the requirements of this Chapter.

- f. A grease interceptor is not required for individual dwelling units or for any private living quarters.
- g. Nothing in this Section negates or nullifies the obligations pertaining to the operation and maintenance of grease interceptors contained in the Terms & Conditions.

## **Chapter 8. Noncompliance and Enforcement**

### **Section 8.1. Enforcement.**

- a. A violation of any condition or requirement of any permit, agreement, or registration issued under this Resolution or any condition of this Resolution shall constitute a violation of this Resolution. Each day's violation shall constitute a separate offense.
- b. Nothing in this Resolution shall restrict any right which may be provided by statute or common law to the Board or CWA Authority to bring other actions, at law or equity, including injunctive relief.
- c. Violations of this Resolution may be resolved through administrative adjudication as provided in a Resolution adopted by the Board.
- d. Enforcement proceedings under this Chapter shall be in accordance with the procedures established in Resolution CWA No. 3-2011 and the CWA Authority Enforcement Response Plan.

### **Section 8.2. Notification of violation.**

- a. Whenever the director determines that any user has violated or is violating any term or condition of this Resolution, the director may serve upon such person a written notice stating the nature of the violation.
- b. Nothing in this Chapter shall limit the authority of CWA Authority to take any action, including emergency actions or any other enforcement action without first issuing a notification of violation.

### **Section 8.3. Response to notification of violation.**

- a. Whenever the director has served upon any person a written notice stating the nature of an alleged violation, such person shall, within thirty (30) days of the date of the notice, submit a plan for the satisfactory correction thereof to CWA Authority by the user.
- b. Submission of such a plan in no way relieves the user of liability for any violations occurring before or after receipt of the notification of violation.
- c. Nothing in this Section shall limit the authority of CWA Authority to take any action, including emergency actions or any other enforcement action without first issuing a notification of violation.

### **Section 8.4. Penalties.**

Penalties will be assessed as described in the approved CWA Authority. Enforcement Response Plan and as authorized by IC 36-1-3-8(a)(10)(B).

### **Section 8.5. Compliance Agreement**

- a. CWA Authority may enter into Compliance Agreements, assurances of compliance, or other similar documents establishing an agreement with any user responsible for noncompliance.
- b. Such documents shall include specific action(s) to be taken by the user to correct the noncompliance within a time period specified by the document.
- c. Such documents shall have the same force and effect as the administrative order issued pursuant to this Resolution and Resolution CWA No. 3-2011 and shall be administratively or judicially enforceable.

### **Section 8.6. Show-cause hearing.**

- a. The director may order any user who causes or allows an unauthorized discharge to enter the POTW, or a user who has violated or continues to violate any provision of this Resolution, a permit, agreement, or registration issued in accordance with Section 3 of this Resolution, or order issued hereunder, or any other pretreatment standard or requirement to show cause at a hearing why the proposed enforcement action should not be taken.
- b. A notice shall be served on the user specifying the time and place of a hearing to be held before the director or an appointed hearing officer, the reasons why the action is to be taken, the proposed enforcement action, and directing the user to show cause why the proposed enforcement action should not be taken.
- c. The notice of the hearing shall be served personally or by registered or certified mail at least ten (10) days before the hearing.

### **Section 8.7. Publication of significant noncompliance.**

- a. CWA Authority shall publish in the newspaper of general circulation that provides meaningful notice in the Central Indiana area a list of the users that at any time during the previous calendar year were in significant noncompliance with applicable pretreatment requirements.
- b. The list of users in significant noncompliance identified as required in subsection(a) shall be published by April 30 of each year summarizing the noncompliance of the previous calendar year.
- c. For purposes of this Section, a significant industrial user (or any industrial user that violates subsections (3), (4), or (8) of this Section) is in significant noncompliance if its violation meets one or more of the following criteria:
  1. Chronic violations of discharge limitations in which sixty-six (66) percent or more of all measurements taken for the same pollutant parameter during a six (6) month period exceed by any magnitude parameter numeric pretreatment standard or requirement, including instantaneous limits, as defined in 40 CFR § 403.3(l);
  2. Violations of technical review criteria (TRC) defined as those in which thirty-three (33) percent or more of all measurements for the same pollutant parameter taken during a six (6) month period equal or exceed the product of the numeric pretreatment standard or requirement, including instantaneous limits as defined by 40 CFR §403.3(l) multiplied by the applicable TRC (TRC = 1.4 for BOD, TSS, fats, oil and grease and 1.2 for all other pollutants except pH);

3. Any other violations of an effluent limit or a pretreatment standard or requirement as defined in 40 CFR §403.3(l) (daily maximum, long-term average, instantaneous limit or narrative standard) that the director has determined have caused, alone or in combination with other discharges, interference or pass-through at the POTW or endangerment to POTW personnel or the public;
4. Any discharge of a pollutant causing imminent endangerment to human health, welfare or the environment or resulting in the director's exercise of emergency authority under Section 2.10 to halt or prevent such a discharge;
5. Failure to meet, within ninety (90) days after a scheduled date, a compliance schedule milestone contained in a compliance schedule or order for starting construction, completing construction, or attaining final compliance;
6. Failure to provide a required report within forty-five (45) days after the due date;
7. Failure to accurately report noncompliance; or,
8. Any violation or group of violations that may include violations of best management practices that the director determines will adversely affect the operation or implementation CWA Authority's pretreatment program.

**Chapter 9. Effective Date; Formalities.**

**Section 9.1. Effective date.**

This Resolution shall be effective upon passage by the Board and thirty (30) days after publication of this Resolution in accordance with IC 5-3-1.

- a. Date of Original Adoption: April 13, 2011
- b. First Amendment: December 12, 2012
- c. Second Amendment: May 20, 2015
- d. Third Amendment: February 15, 2017
- e. Fourth Amendment: August 15, 2018
- f. Fifth Amendment: December 10, 2025

**CWA Resolution No. CWA 2-2011**

Amended this \_\_\_\_\_ day of \_\_\_\_\_, 202\_\_\_ by a vote of \_\_\_\_\_ ayes and \_\_\_\_\_ nays.

CWA AUTHORITY, INC.  
BOARD OF DIRECTORS

\_\_\_\_\_  
Chair

Attest:  
\_\_\_\_\_

\_\_\_\_\_  
Secretary

The Chair then moved to a report of the Energy Resources Executive Committee given by herself. Ms. Quintana reported that Mr. Zbojniewicz provided a financial report. As it relates to gas, net earnings

are better than expected, primarily due to stronger sales which carried through to gross margin. EBITDA continues to be sufficient to cover capital needs, with EBITDA being higher than debt service and capital expenditure requirements. Long-term debt outstanding is \$81 million, a ratio of 14% debt in the capital structure compared with a target ratio of 10%.

The Chair next discussed Steam stating that Net earnings are better than expected, primarily driven by additional margin due to higher sales volume. With some very strong capital needs in the near future, EBITDA is currently not sufficient to cover Steam's capital needs. Long-term debt outstanding is \$85 million, a ratio of 59% debt in the capital structure compared with a target ratio of 30% debt.

The Chair reported that Chilled Water net earnings are better than expected, resulting from increased margin and other income, partially offset by increased operating expenses. EBITDA is sufficient to cover capital needs in 2025. Long-term debt outstanding is \$7 million, a ratio of 5% debt in the capital structure compared with a target ratio of 10% debt.

Next, the Chair reported that the Committee received a report from Mr. Bartone and Mr. Fuller related to a winter update for natural gas, a natural gas prepay transaction, and a renewed franchise agreement for chilled water. The report stated that the average residential customer at Citizens Gas will pay approximately \$452 to heat their home this winter, which is only \$10 higher than last year. This cost has been stable over the past 10 years. The Committee was also updated on a recent prepay gas transaction. Starting in 2026, Citizens Gas has locked in approximately \$21 million of value spread over 7.5 years. \$18 million will be returned to customers via lower gas costs and \$3 million will offset labor O&M. Based on the success of the recent transaction, Citizens Gas is seeking Board approval to execute another prepay gas transaction in 2026 or 2027, pending favorable market conditions.

Moving to Thermal, Ms. Quintana reported that Mr. Fuller provided an update on Chilled Water's franchise agreement with the City of Indianapolis. The group was successful in renewing the expiring franchise agreement for a term of 10 years. The franchise fee is to remain at 5% of revenue with a maximum annual payment of \$2 million. The \$2 million limit is new and is projected to save approximately \$500,000 per year over the term of the agreement.

Upon a motion duly made and seconded, the Board approved the following resolution to approve the prepay gas transaction:

**WHEREAS**, the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis, as successor trustee of a public charitable trust, d/b/a Citizens Energy Group (the “Board” or “Citizens Energy Group”) provides natural gas utility service to customers in and around the City of Indianapolis through its natural gas utility doing business as Citizens Gas; and

**WHEREAS**, the Board is permitted and has the power pursuant to the provisions of the Indiana Code to purchase natural gas or services by contract for the purpose of meeting the gas requirements of residential, commercial, industrial and other customers served by Citizens Gas; and

**WHEREAS**, pursuant to rates, charges, terms, and conditions approved by the Indiana Utility Regulatory Commission (“Commission”), Citizens Gas sells natural gas to residential, commercial, industrial and other customers in and around Marion County, Indiana (“GCA Customers”); and

**WHEREAS**, in its final order in Cause No. 45577 issued on November 24, 2021, the Commission declined its jurisdiction to regulate the price and other terms and conditions pursuant to which Citizens Gas purchases natural gas in the competitive wholesale market and either resells the gas at the point of purchase or transports and resells it at a point of delivery to customers that have the ability to purchase natural gas in the competitive wholesale market from other suppliers, including but not limited to other gas utilities, power generators and wholesale supply companies (“Wholesale Customers”); and

**WHEREAS**, the acquisition of secure, reliable and economic supplies of natural gas is necessary for the prudent operation of Citizens Gas; and

**WHEREAS**, Citizens Gas currently purchases natural gas pursuant to prepaid natural gas agreements and has purchased natural gas pursuant to other prepaid natural gas agreements that have expired; and

**WHEREAS**, Citizens Gas has been negotiating with multiple counterparties on terms and conditions to purchase natural gas under another prepaid natural gas agreement that will provide Citizens Gas with a supply at a discount to an indexed price; and

**WHEREAS**, management of Citizens Gas has determined that it can enhance the value of such a prepaid natural gas agreement by procuring additional quantities of natural gas under the arrangement to resell to certain Wholesale Customers pursuant to a fixed term, market-based pricing, and other competitive terms and conditions to be negotiated with such parties; and

**WHEREAS**, in order to achieve the foregoing objectives, management of Citizens Gas has recommended to the Board that it approve parameters for a prepaid natural gas agreement which would then be executed based on the most appropriate economics terms, including counterparty risk, price, location flexibility and other generally acceptable terms and conditions.

**NOW, THEREFORE, BE IT RESOLVED BY** the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that:

Section 1. The foregoing recitals are incorporated herein by reference and are ratified, confirmed and approved.

Section 2. The Board hereby authorizes Citizens Gas to enter into a prepaid natural gas agreement which will have 1) a term of not more than thirty years, 2) a maximum daily purchased quantity less than or equal to 25,000 dekatherms per day both of which taken together have a notional value of \$1.0 billion as of November 18, 2025, and 3) other terms and conditions that are customary for prepaid natural gas transactions.

Section 3. The operating officers of the Board (the “Authorized Persons”) are, and each of them is, hereby authorized, singly or otherwise, to negotiate and execute at the appropriate time but no later than December 31, 2027, a prepaid natural gas agreement in form and substance deemed appropriate by the Authorized Person or Authorized Persons executing the same, and such approval shall be conclusively evidenced by their execution thereof.

Section 4. The Authorized Persons are, and each of them is, hereby authorized and directed, singly or otherwise, to do and perform all acts and to execute in the name of the Board and to attest, as appropriate, all such instruments, documents, papers, notices or certificates, in such forms as the Authorized Person executing or attesting the same shall deem proper, to be evidenced by the execution or attestation thereof, which are necessary, desirable or appropriate to carry out the transactions contemplated by this Resolution.

Section 5. The taking of any action or the execution of any agreement, document, or instrument by the Authorized Persons in connection with this Resolution shall be conclusive of such Authorized Person’s determination that the same was necessary to serve the best interests of the Board and Citizens Gas.

Section 6. The Resolutions set forth herein shall be effective as of December 10, 2025.

The Chair then moved to a report related to a board education program hosted by the American Gas Association which was attended by two board members. Mr. Good reported that he and Mr. Scroggins attended the board education program in late October. The program was attended by representatives from both electric and gas utilities across the country with a majority from investor-owned utilities. Most of the program was aimed at the broader energy sector, however, there were topics related to gas and electric utility issues. There was discussion related to service delivery, risk management, and regulatory challenges. Mr. Good noted that on a national level, we are currently experiencing a “once in a generation demand growth in energy” which is largely being driven by artificial intelligence (AI), data centers, and advanced manufacturing. Gas and water are also being affected, which requires advanced planning. Other topics included customer affordability, workforce development within the energy sector; and rate increase education for customers. Overall, Mr. Good stated that he and Mr. Scroggins felt it was a worthwhile program for other board members to attend in the future.

Next, the Chair invited Mr. Jackson to provide a financial report. Mr. Jackson reported on financial projections for 2026, an update on the Citizens pension plan, and updates on Supply Chain. He reported that there was a change to Citizens Water's projected DSIC revenue which has resulted in a unfavorable variance to budget of approximately \$5 million . Debt service coverages are in good shape and in line with expectations. Thermal debt service coverage will see a sizable improvement from 2025 to 2026 due to debt that is rolling off in 2026. Water's debt service coverage remains at over 2 times, as a result of to DSIC recovery.

Mr. Jackson then reported that the pension plan is solidly positioned. He stated that from a cash perspective, Citizens is funded ratio is approximately 130%. He noted that there is a cash surplus of over \$130 million, and the goal to preserve as much of the over funded status as possible .

Mr. Jackson then moved to a report on Supply Chain and the impact of tariffs. He noted there is a case before the U.S. Supreme Court challenging the legality of the President using the International Emergency Economic Powers Act to impose reciprocal tariffs. A ruling is expected by June 2026. Mr. Jackson stated that suppliers are passing tariff costs to Citizens, with increases ranging from 10% to 30% on impacted goods. He stated that various mitigation efforts, such as buying domestically and/or using alternative products, are being utilized to minimize cost increases.

Thereafter, the Chair invited Mr. Harrison to present the report of the President and CEO. Mr. Harrison provided a refresher on how the annual Promise of the Trust came about and informed the Board of various tracking mechanisms in place. Tracking at the federal level involves looking at federal funding for low-income customers. It is currently unclear where the FY2026 federal appropriations will land, however Congress has proposed an amount of approximately \$4 billion to assist with low-income home energy assistance programs. Tracking at the state level involves executive orders issued by the Governor that focuses on state energy strategy and support for liability and affordability in the state.

Mr. Harrison also highlighted several projects and transactions taking place including Whitestown Water Utility, the Lapel Gas Utility, Hamilton Southeastern Utilities, and Citizens Lebanon

Water Supply Program. He noted that the major themes from these transactions are designed to support economic development in Central Indiana, to lower customer rates, and to improve safety and reliability.

He went on to note that Citizens philosophy regarding Artificial Intelligence, primarily data centers, is to protect customers and ensure developers pay 100% of the water infrastructure costs of the projects.

Mr. Harrison also discussed projections of natural gas costs, with bills remaining stable. He further highlighted the celebration for the completion of the DigIndy tunnel system which will now transition to a post construction monitoring phase to ensure the project remains on track. Further highlights included Community Resource Day which brought in over 700 guests at Citizens headquarters, and the continuation of the Lead Service Line Replacement Program. In closing, he highlighted Citizens sponsorship of the 34<sup>th</sup> annual ROSE Awards, which is the recognition of service excellence and highlights non-managerial employees who exceed job expectations and create lasting impressions on visitors to the city. Citizens nominated two individuals who attended the awards program.

Following Mr. Harrison's report, the Chair invited board members to make contributions to the Warm Heart Warm Home Foundation. Ms. Quintana then invited Ms. Lawson to provide a report on Customer Operations. Ms. Lawson's report focused on upholding standards for customer excellence and service. Her report centered on customer satisfaction results and contributing factors. She noted that a random survey process is utilized throughout the year to evaluate Citizens performance. She also reported on improvements made that include appointment on time rate, a meter roadmap change to eliminate estimated readings, and emergency response times that continue to improve year over year. In conclusion, she reported on initiatives in 2026 that will improve customer experience such as a third upgrade to the customer information system, the use of conversational AI, continuation of journey mapping efforts, and transition to a new vendor which will dig deeper into customer needs and expectations, upgrade of landlord portal to increase ease of use, and continue the Lead Service Line Replacement Program.

The Board then addressed certain governance matters. Upon a motion duly made and seconded, the Citizens Board unanimously approved the following resolution concerning the Warm Heart Warm Home Foundation Inc.:

**RESOLVED**, by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that each of the individuals listed below are hereby appointed to serve as a director of the Board of Directors of Warm Heart Warm Home Foundation, Inc. for a term of one (1) year, or until his or her successor is appointed and qualified:

Michael Allen	Jennifer Bailey	Ed Bukovac
Melissa Lawson	Rebecca Schaefer	Shannon Stahley
Matthew Thomas		

Upon a motion duly made and seconded, the Citizens Board unanimously approved the following resolution concerning Citizens Investment Collaboration on Neighborhoods Inc.:

**RESOLVED**, by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that each of the individuals listed below are hereby appointed to serve as a director of the Board of Directors of Citizens Investment Collaboration on Neighborhoods, Inc. for a term of one (1) year, or until his or her successor is appointed and qualified:

Steve Berube	Ed Bukovac	Jonathan "J.P." Ghio
Korlon Kilpatrick	Scott Leavitt	Kenya McMillin
Jennifer Mentink	Alejandro "Alex" Valle	Phil Zbojniewicz

Upon a motion duly made and seconded, the Citizens Board unanimously approved the following resolution concerning Yvonne Perkins Legacy Fund, Inc.:

**RESOLVED**, by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that each of the individuals listed below are hereby appointed to serve as a director of the Board of Directors of the Yvonne Perkins Legacy Fund, Inc. for a term of one (1) year, or until his or her successor is appointed and qualified:

Jennifer Bailey	Tony Cunningham	Rachel Doba
Jonathan "J.P." Ghio	Olivia Hawbaker VanDuyn	Mark C. Jacob
Ann McIver	Ben Reed	Amy Smitley

Upon a motion duly made and seconded, the Citizens Board unanimously approved the following resolution concerning the Service Advisory Board:

**RESOLVED**, by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis that, and pursuant to its recognition and continued maintenance of the Service Advisory Board (SAB), David C. George\* is hereby appointed to serve as the Chair of the SAB for a term of one (1) year or until his successor is appointed and qualified.

The Board Chair then recognized retiring board secretary Moira Carlstedt and thanked her for her 14 years of service and leadership on the Citizens board. Upon a motion duly made and seconded, the Board unanimously approved the following resolution:

**WHEREAS**, Moira Carlstedt has been a valuable member of the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis for 14 years; and

**WHEREAS**, Ms. Carlstedt has served alongside her fellow board members to provide strategic oversight and long-term direction of Citizens Energy Group; and

**WHEREAS**, Ms. Carlstedt has fulfilled a variety of roles on Board committees, including Audit & Risk Committee, Compensation & Finance Committee, Energy Resources Executive Committee, and serving as chair of the Wastewater Resources Executive Committee for the past year; and

**WHEREAS**, Ms. Carlstedt has faithfully served a variety of community and healthcare boards, including the Indy Chamber, Greater Indianapolis Progress Committee, St. Vincent Hospital-Indianapolis, Indiana Latino Foundation, and the Meadows Community Foundation.

**NOW THEREFORE BE IT RESOLVED**, by the Board of Directors for Utilities of the Department of Public Utilities of the City of Indianapolis (the “Board”), that Moira Carlstedt is recognized for a combined 14 years of laudable service to Citizens Energy Group; and

**FURTHER RESOLVED** that the Board of Directors joins the Board of Trustees for Utilities of the Department of Public Utilities of the City of Indianapolis in expressing its sincere appreciation to Moira Carlstedt for her honorable commitment to Citizens Energy Group’s vision to fulfill the promise of the Trust to serve our customers and communities with unparalleled excellence and integrity.

**ADOPTED AND APPROVED this 10<sup>th</sup> day of December 2025.**

There being no further business, the Chair adjourned the meeting.

Signed by:

*Maria Quintana*

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Maria M. Quintana, Chair  
Board of Directors

Signed by:

*Joseph M Perkins Jr.*

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Joseph M. Perkins, Jr.  
Assistant Secretary, Board of Directors